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Presidenza del Consiglio dei Ministri
Dipartimento della Protezione Civile

SEISMIC BEHAVIOUR OF RETAINING STRUCTURES: FROM FUNDAMENTALS TO PERFORMANCE-BASED DESIGN



Giulia VIGGIANI University of Cambridge



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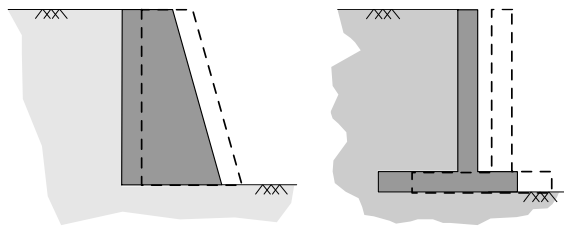
SEISMIC BEHAVIOUR OF **YIELDING** RETAINING STRUCTURES: FROM FUNDAMENTALS TO PERFORMANCE-BASED DESIGN



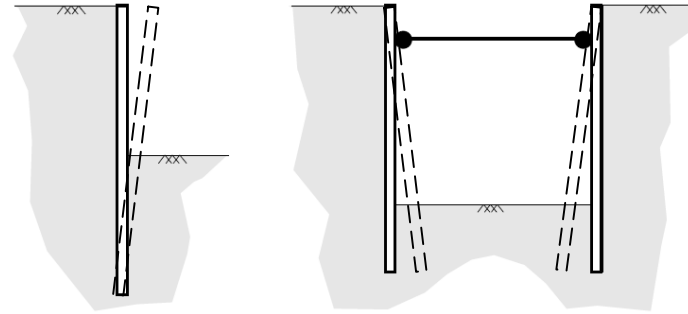
Giulia VIGGIANI University of Cambridge

YIELDING (DUCTILE) RETAINING STRUCTURES

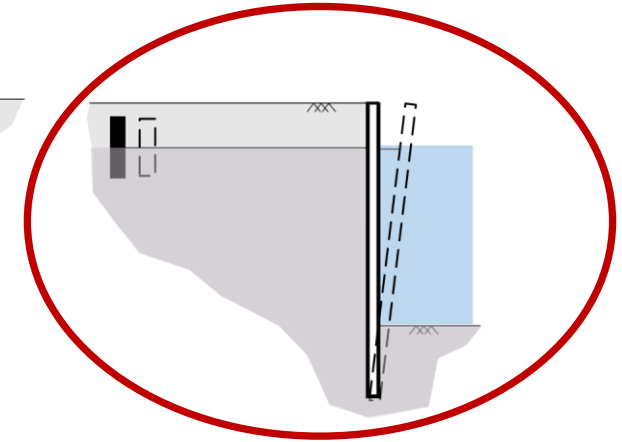
tied-back anchored steel
sheet pile (ASSP) walls



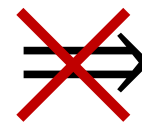
gravity/semi-gravity walls



embedded (flexible) walls

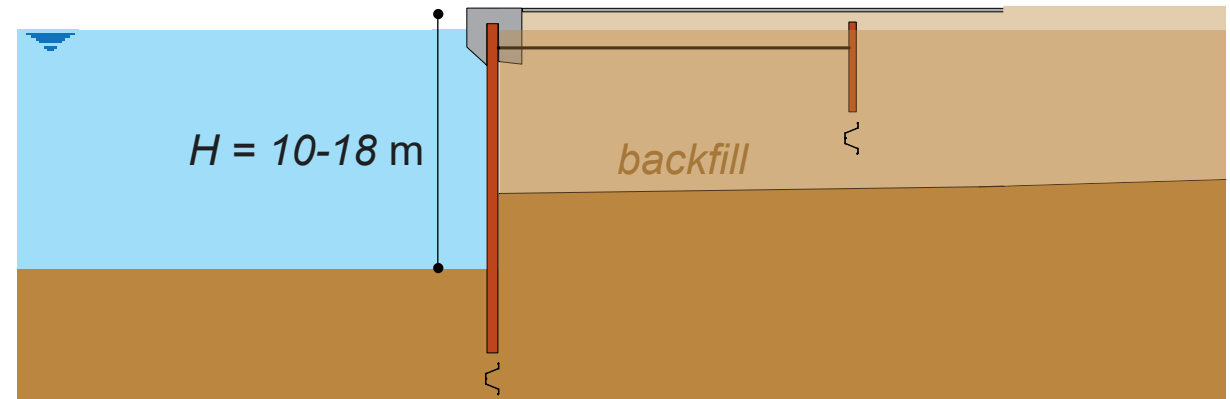


(instantaneous)
mobilisation of strength



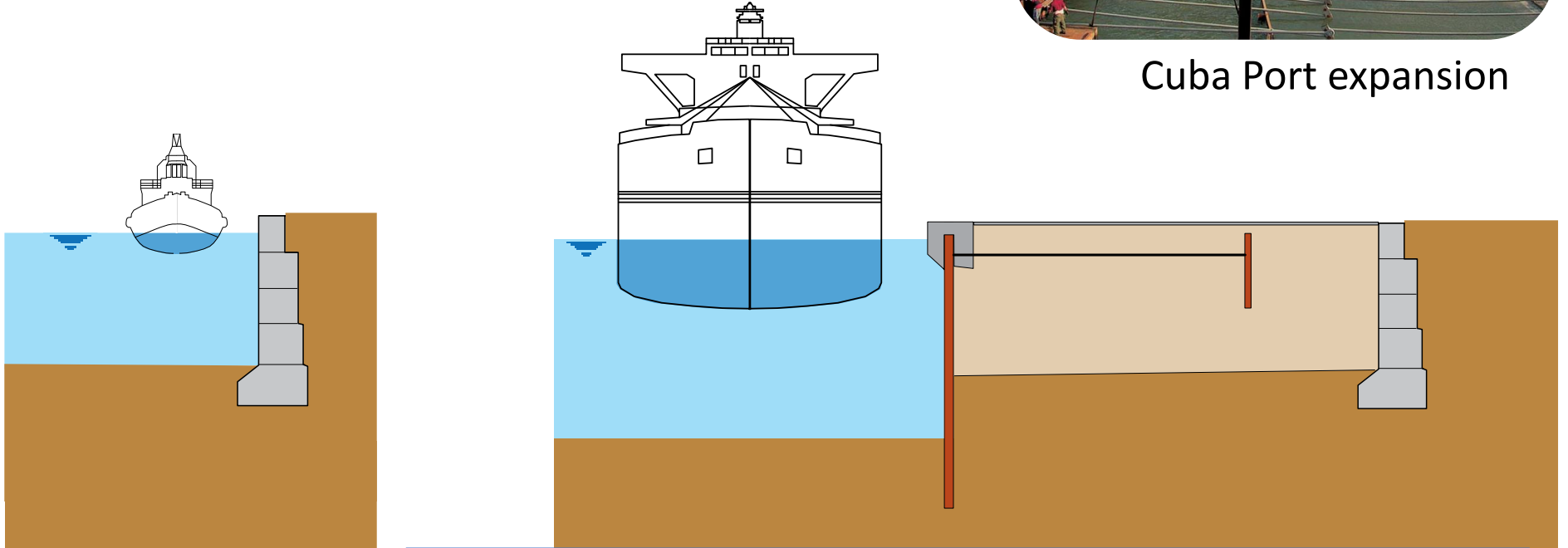
collapse

QUAY WALLS



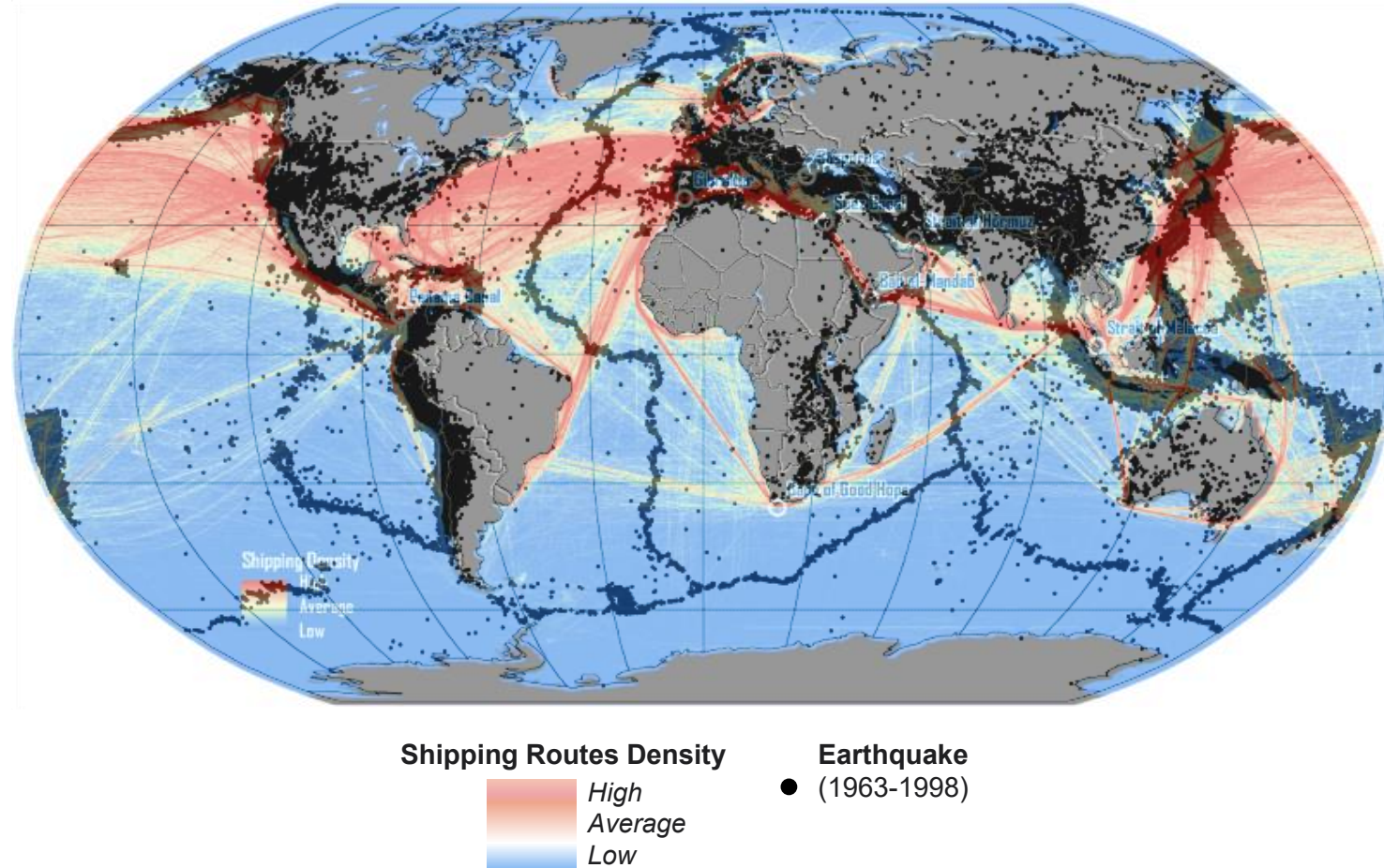
QUAY WALLS

increased vessel size and draft



Cuba Port expansion

SEISMIC VULNERABILITY



OBSERVED DAMAGE



Hyogo-ken Nanbu earthquake (1995)



Tohoku earthquake (2011)



Hokkaido-Nansei-Oki earthquake (1993)



Tohoku earthquake (2011)

CONVENTIONAL SEISMIC DESIGN

simplified analysis

pseudo-static approach

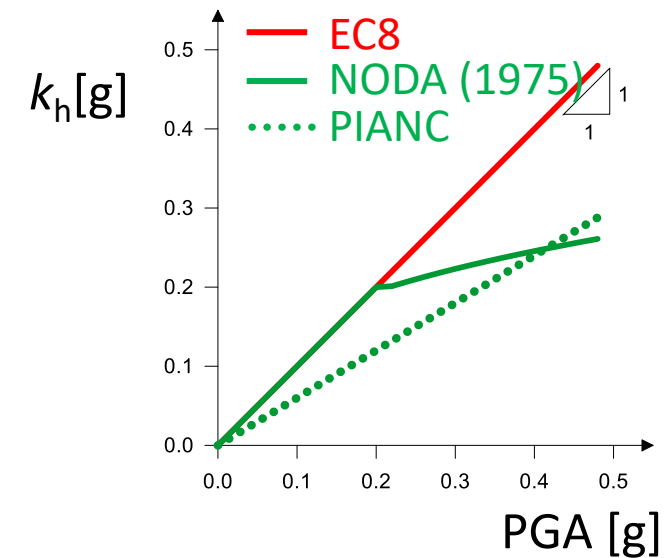
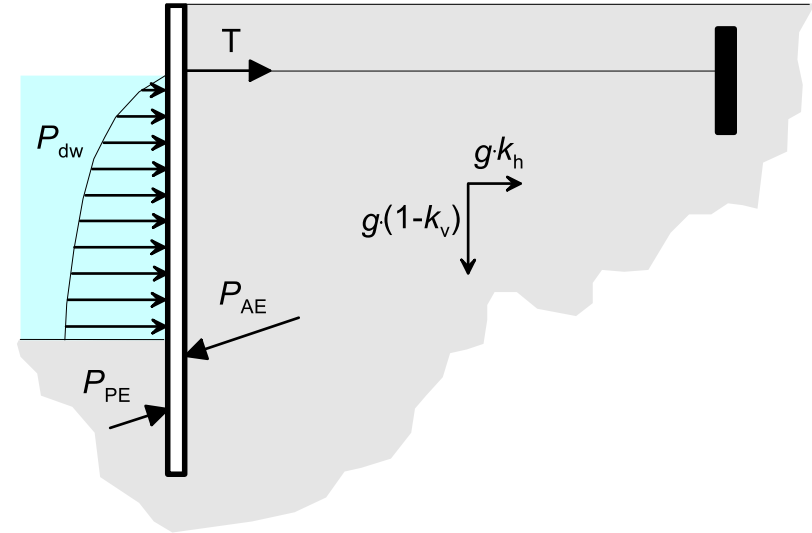
equivalent seismic coefficient $\propto \text{PGA}$

limit conditions (active/passive) in the soil

pseudostatic forces ($k_{h,v}$ constant in time and space)

equivalent to dynamic inertia forces:

$$F_h = k_h g \cdot m = k_h W$$
$$F_v = k_v g \cdot m = k_v W$$

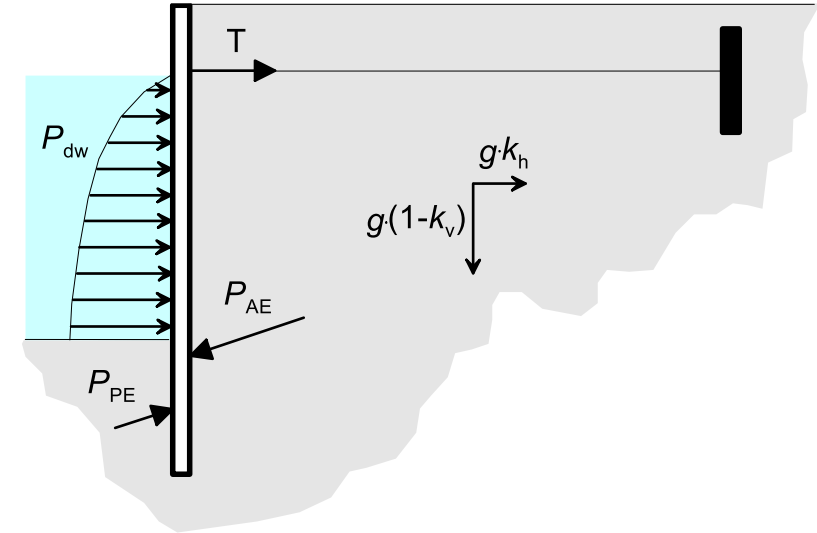


CONVENTIONAL SEISMIC DESIGN

simplified analyses

pseudo-static approach

equivalent seismic coefficient

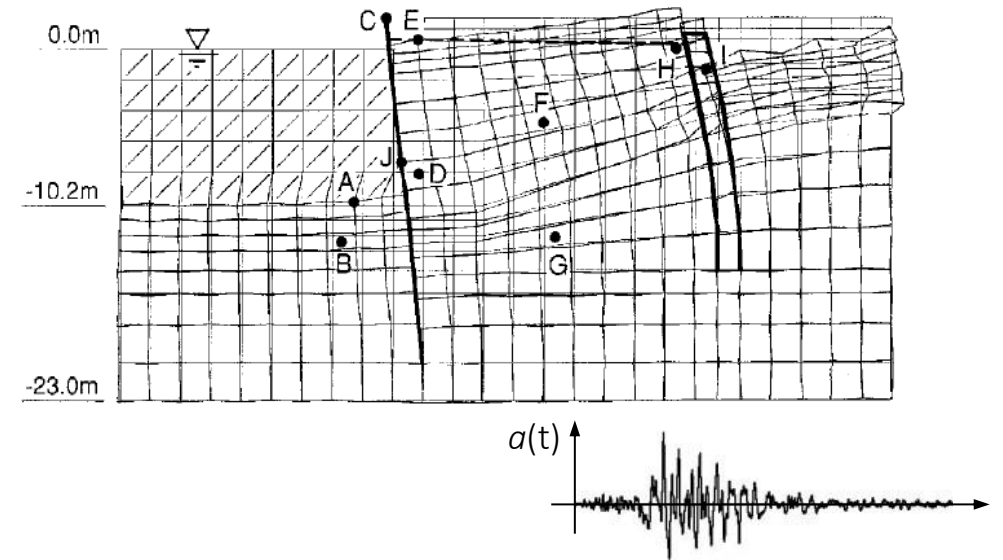


advanced numerical analyses

FEM/FDM

time domain

advanced constitutive models



CONVENTIONAL SEISMIC DESIGN

simplified analyses

pseudo-static approach

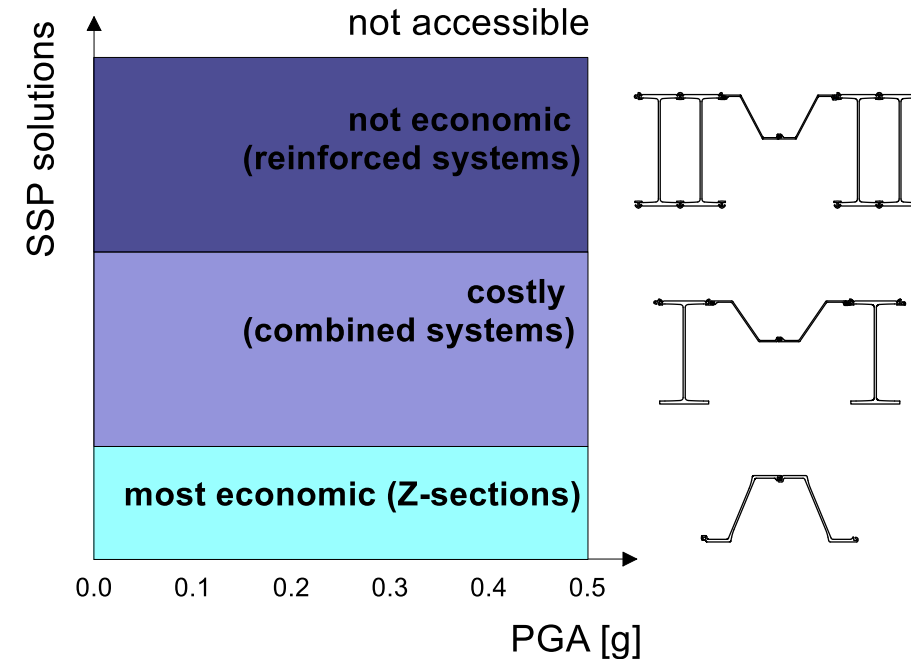
equivalent seismic coefficient

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CONVENTIONAL SEISMIC DESIGN

simplified analyses

pseudo-static approach

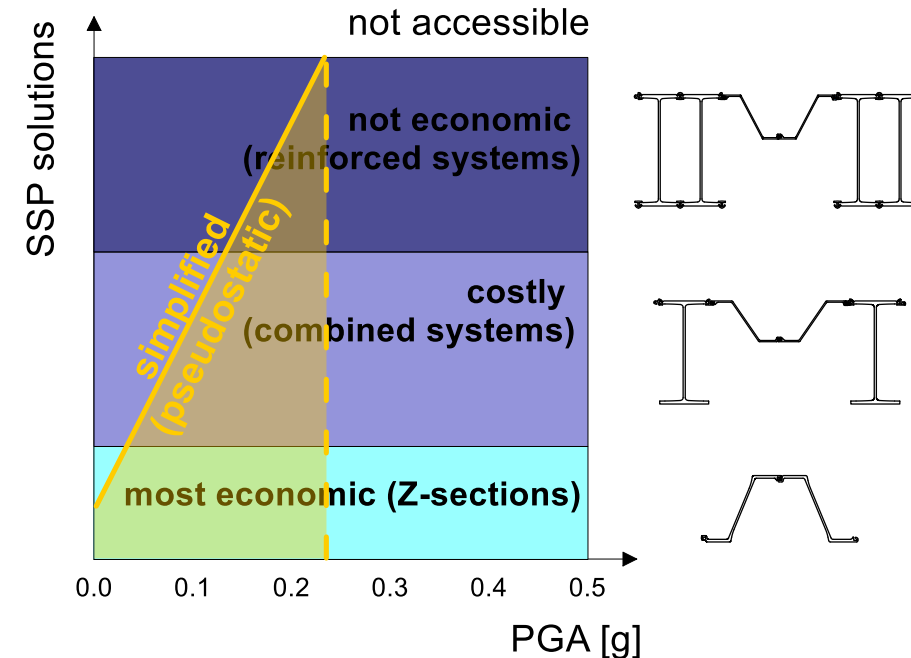
equivalent seismic coefficient

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CONVENTIONAL SEISMIC DESIGN

simplified analyses

pseudo-static approach

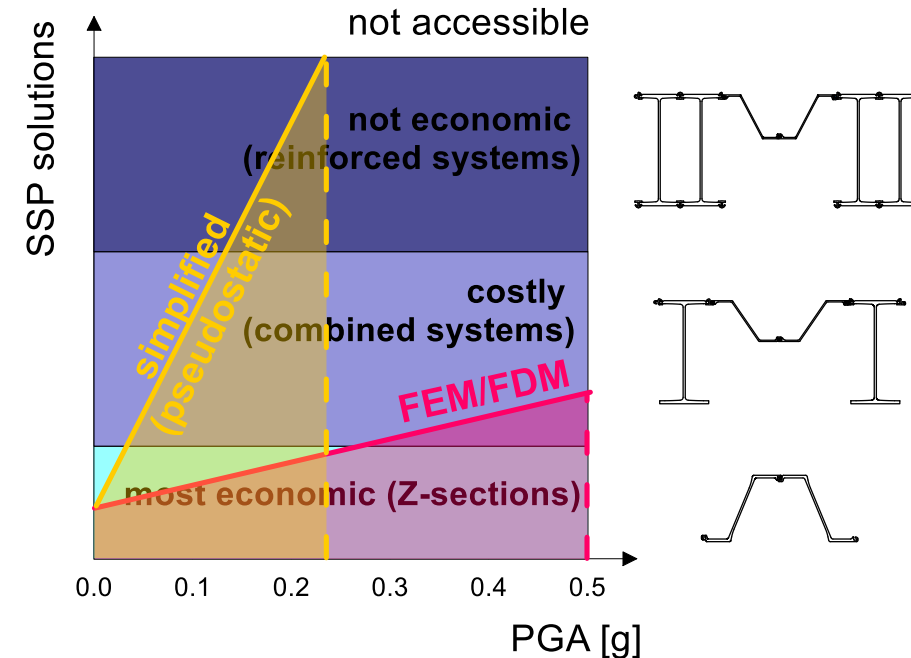
equivalent seismic coefficient

advanced numerical analyses

FEM/FDM

time domain

advanced constitutive models



alternative (PBD) approach



PERFORMANCE BASED SEISMIC DESIGN

$$\text{demand} \leq \text{capacity}$$

LIMIT STATE

serviceability (SLS)
frequent/weak earthquakes

ultimate (ULS)
rare/strong earthquakes

strength

(seismic capacity)

performance

(seismic demand)

{ permanent displacement
(geotechnical design)
capacity/demand ratio structural elements
(structural design)

REQUIREMENT

limit deformations
stiffness/strength

avoid brittle failure
ductility/strength



PERFORMANCE BASED SEISMIC DESIGN

displacement based approach

$$u \leq u_{adm}$$

force based approach

$$\beta \cdot a_{max} \leq a_c$$

critical acceleration, a_c
full mobilisation of strength

PERFORMANCE BASED SEISMIC DESIGN

displacement based approach

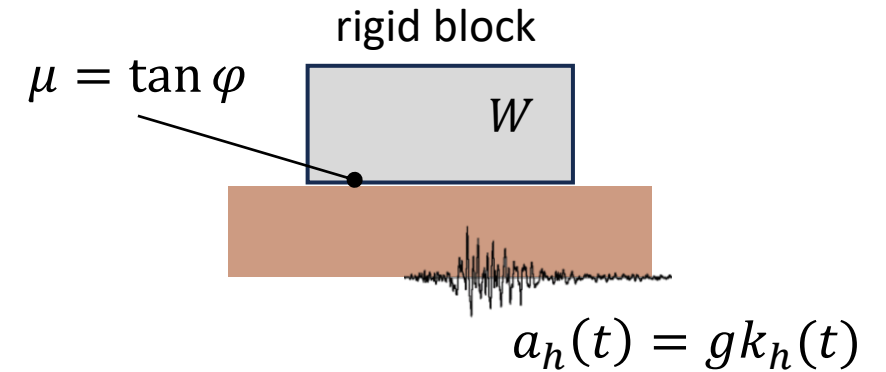
$$u \leq u_{adm}$$

force based approach

$$\beta(u_{adm}) \cdot a_{max} \leq a_c$$

critical acceleration, a_c
full mobilisation of strength

NEWMARK'S APPROACH



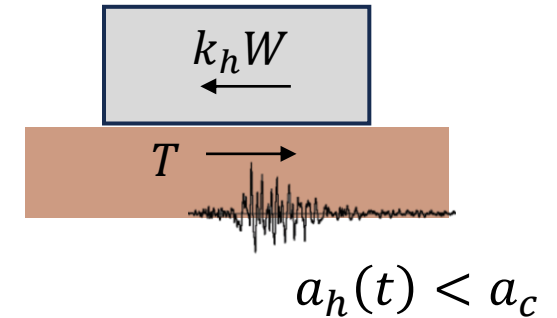
NEWMARK'S APPROACH

$$a_h < a_c$$

relative displacement $u_r = 0$

absolute acceleration $a = a_h$

internal force $T = k_h W$



NEWMARK'S APPROACH

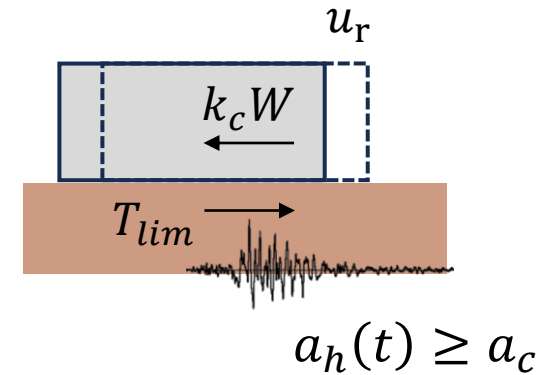
$$a_h \geq a_c$$

relative displacement $u_r \neq 0$

absolute acceleration $a_h = a_c$

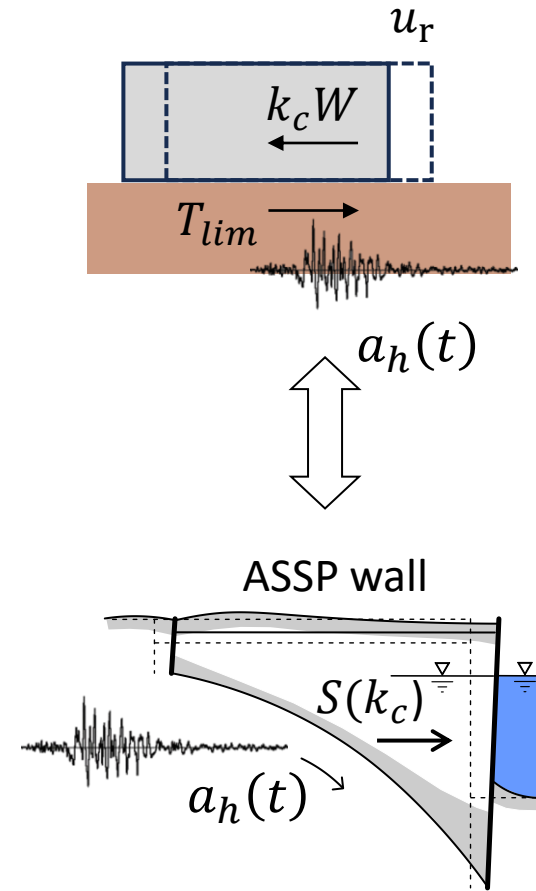
internal force $T = T_{lim}(a_c)$

$$u_r = -\eta \iint [a_h(t) - a_c] dt^2$$



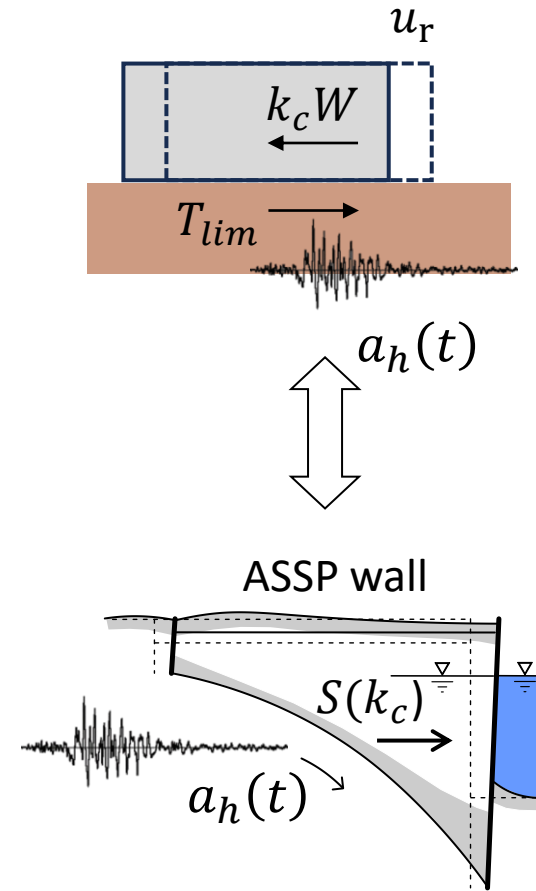
NEWMARK'S APPROACH

1. How to compute a_c ?
what failure mechanism(s)?



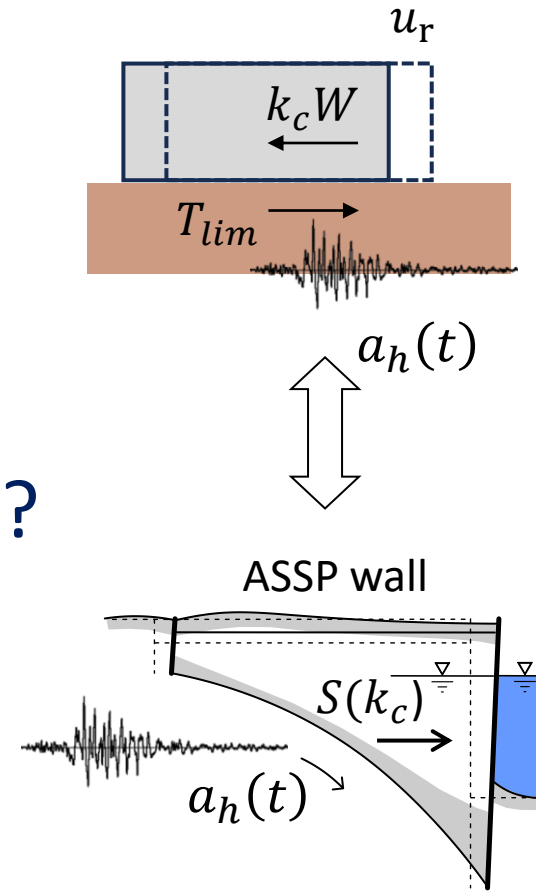
NEWMARK'S APPROACH

1. How to compute a_c ?
(what failure mechanism?)
2. Maximum internal forces?
(really bounded by their critical values?)

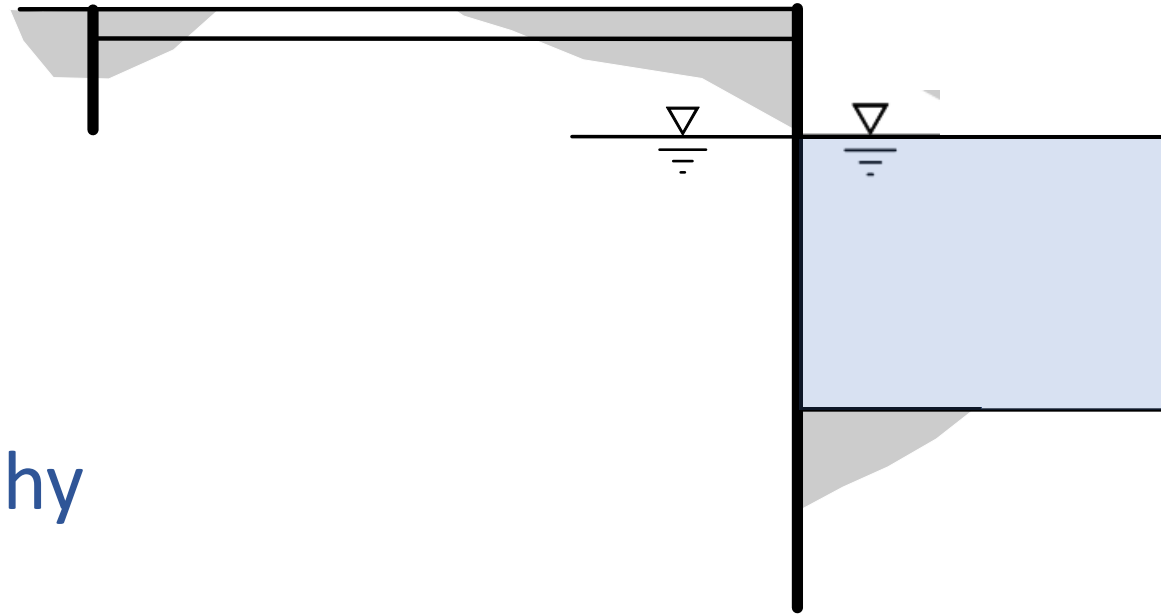


NEWMARK'S APPROACH

1. How to compute a_c ?
(what failure mechanism?)
2. Maximum internal forces?
(really bounded by their critical values?)
3. How to compute permanent displacements?
(rigid block assumption reasonable?)



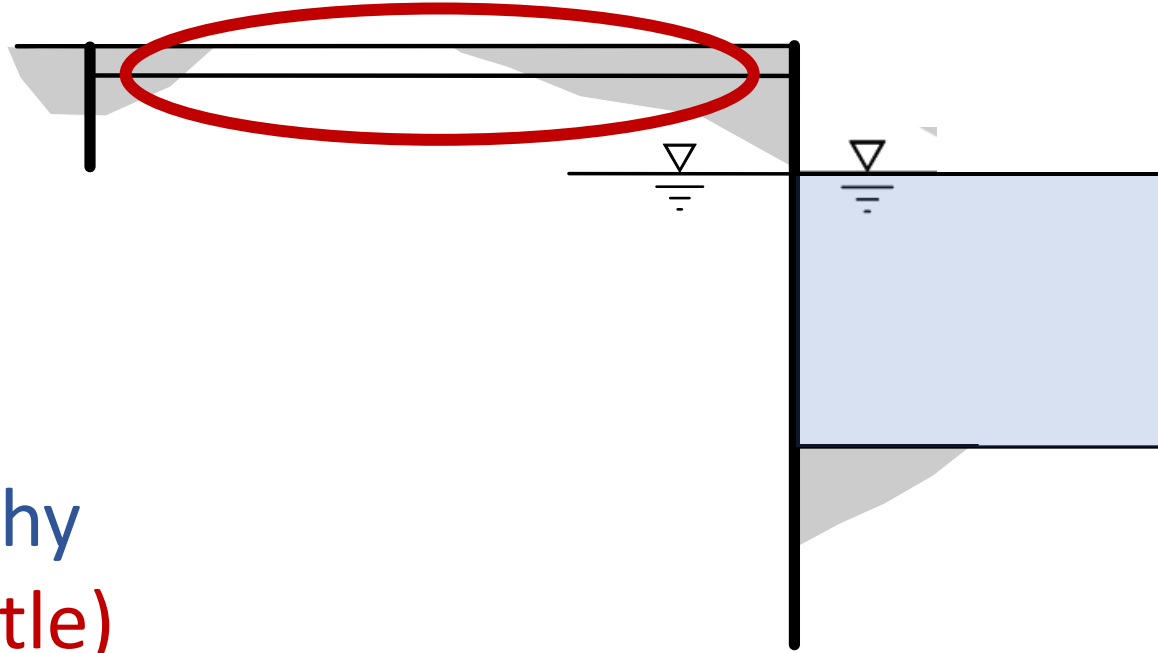
PLASTIC MECHANISMS



strength hierarchy

- tie-backs
- main wall
- soil

PLASTIC MECHANISMS



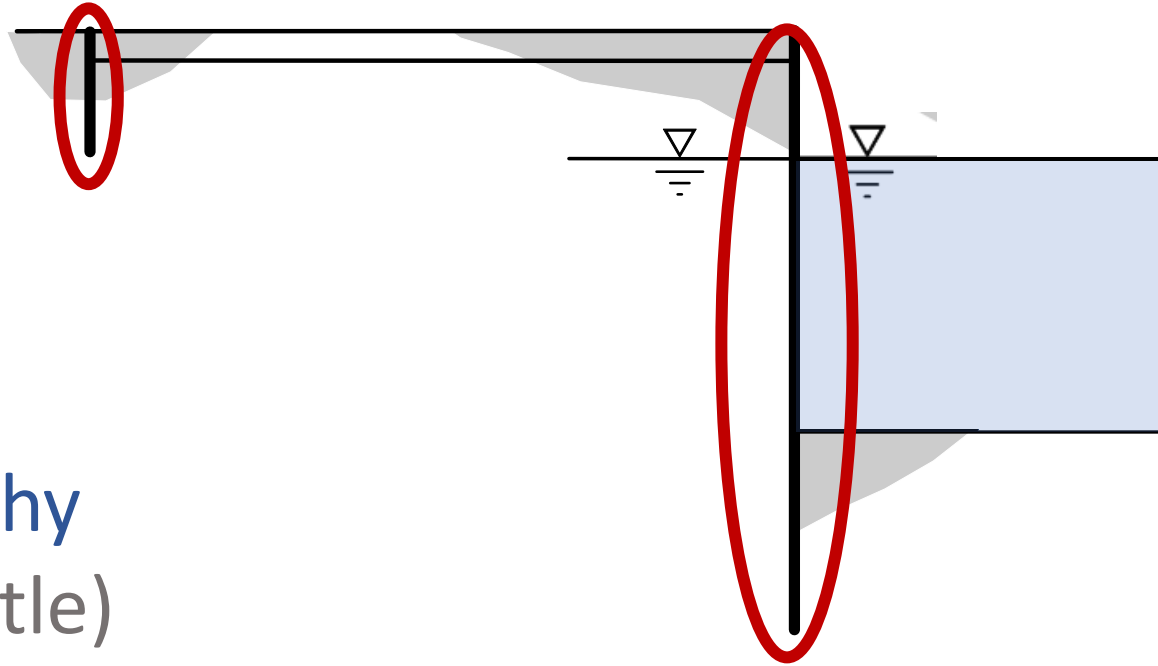
strength hierarchy

- tie-backs (brittle)

- main wall

- soil

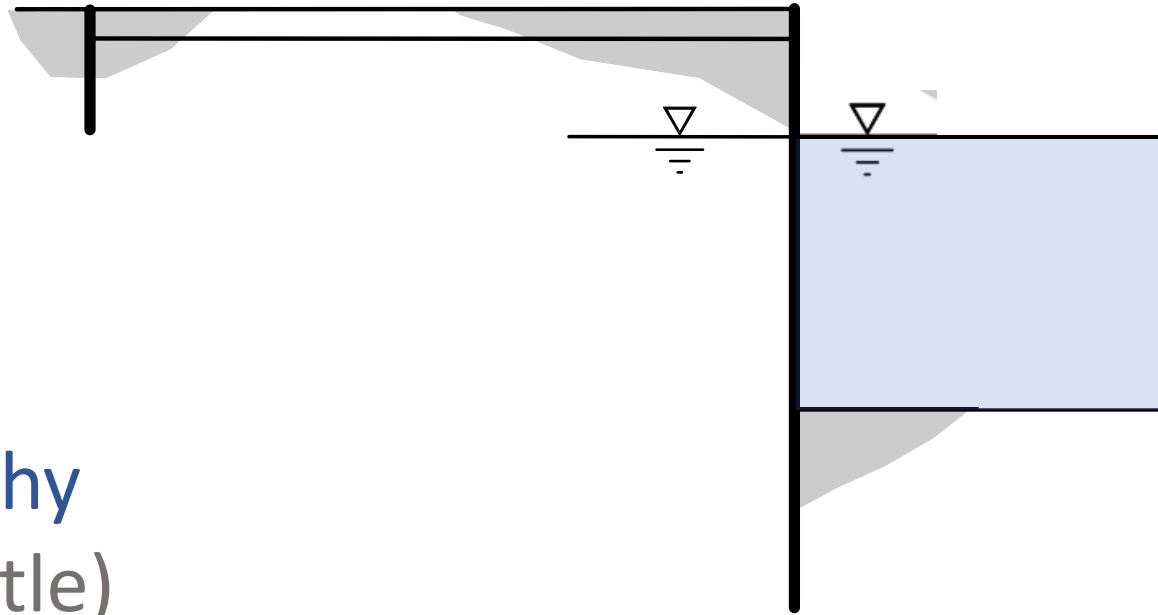
PLASTIC MECHANISMS



strength hierarchy

- tie-backs (brittle)
- wall (difficult to repair)
- soil

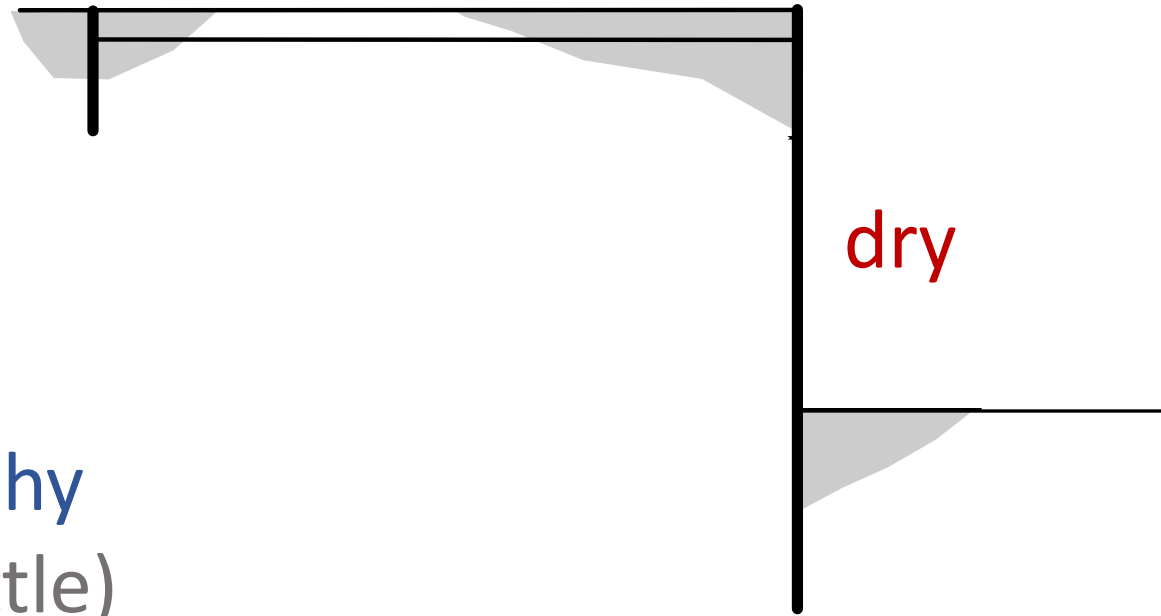
PLASTIC MECHANISMS



strength hierarchy

- tie-backs (brittle)
- wall (difficult to repair)
- **soil**

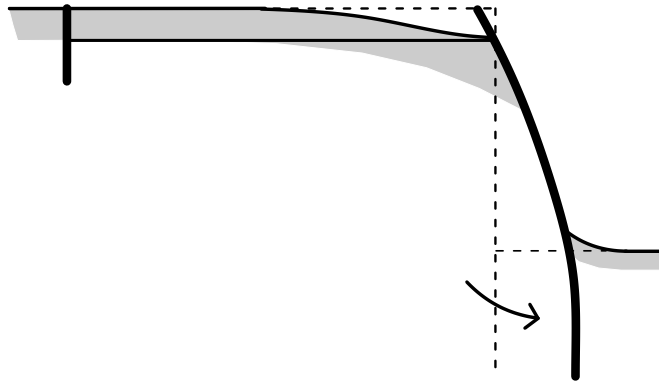
PLASTIC MECHANISMS



strength hierarchy

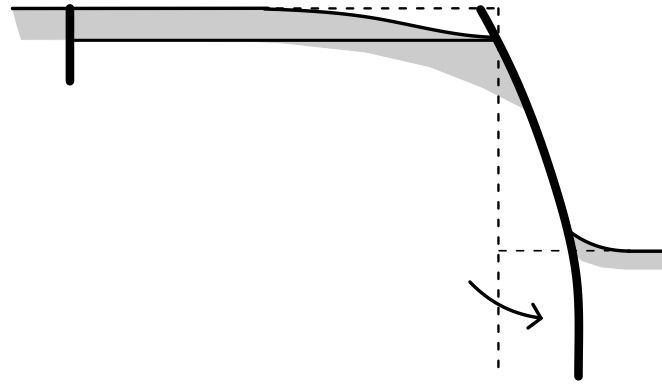
- tie-backs (brittle)
- wall (difficult to repair)
- **soil**

PLASTIC MECHANISMS

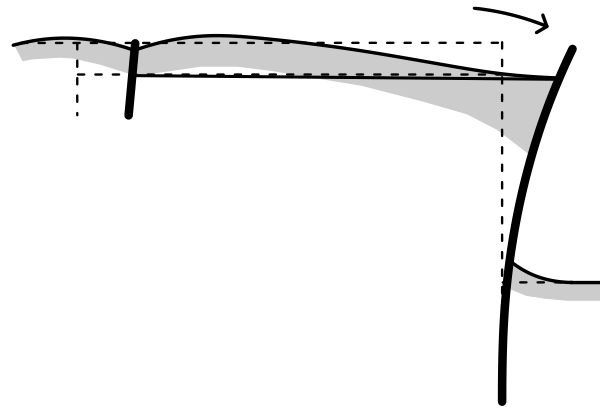


toe failure

PLASTIC MECHANISMS

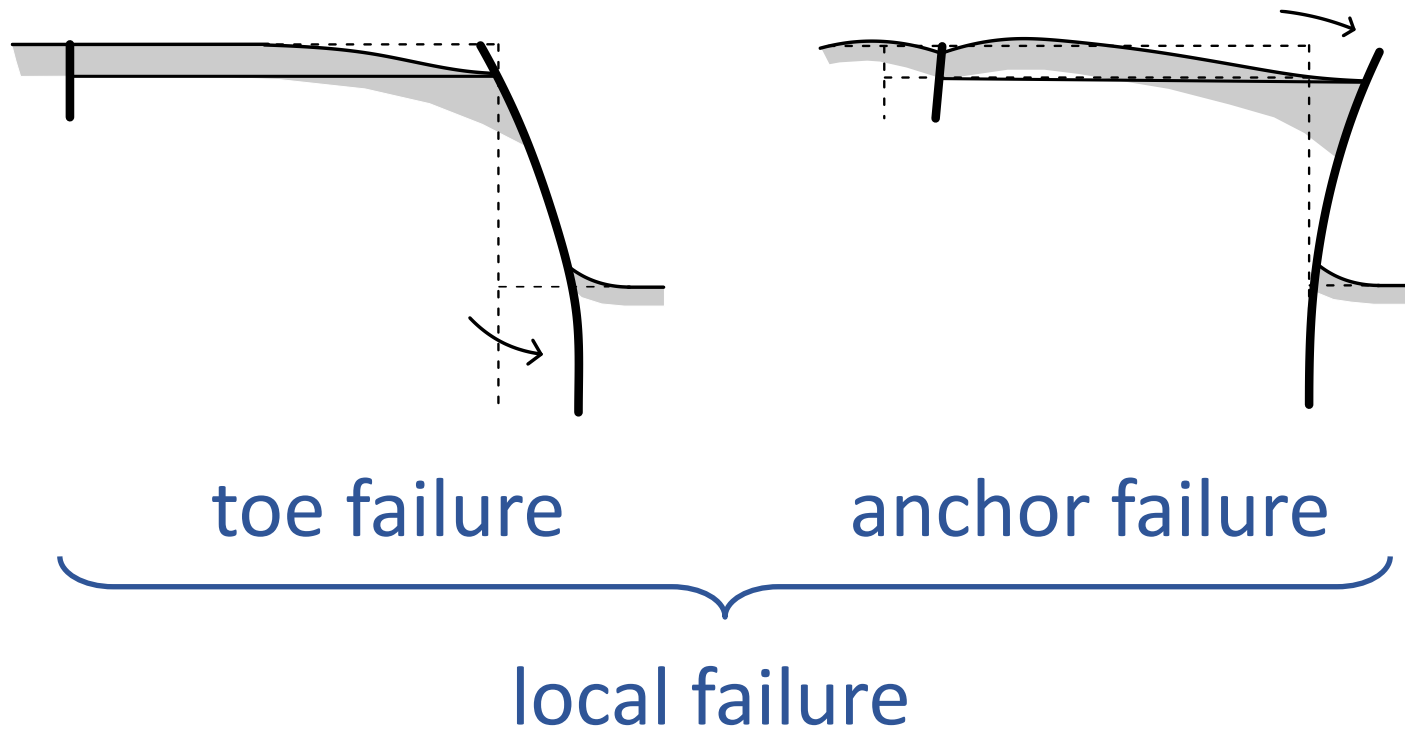


toe failure



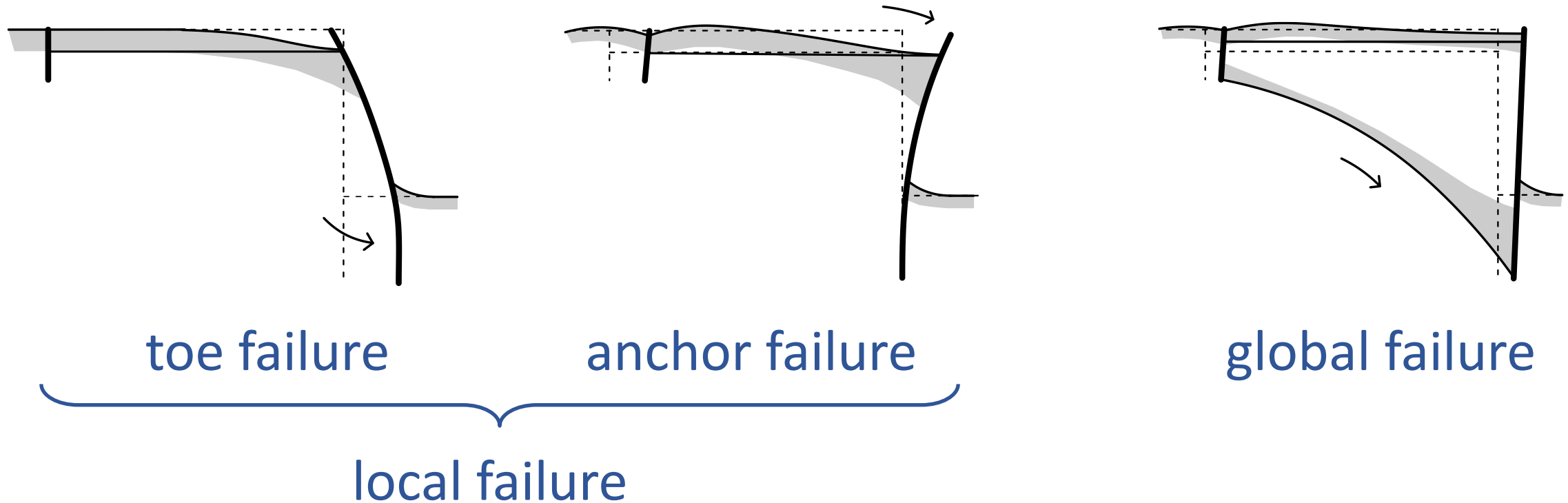
anchor failure

PLASTIC MECHANISMS



PLASTIC MECHANISMS

critical mechanism?



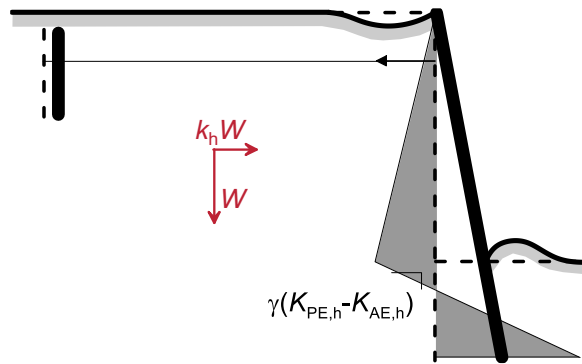
PLASTIC MECHANISMS

limit equilibrium (pseudo-static)

earth pressure distribution around the wall

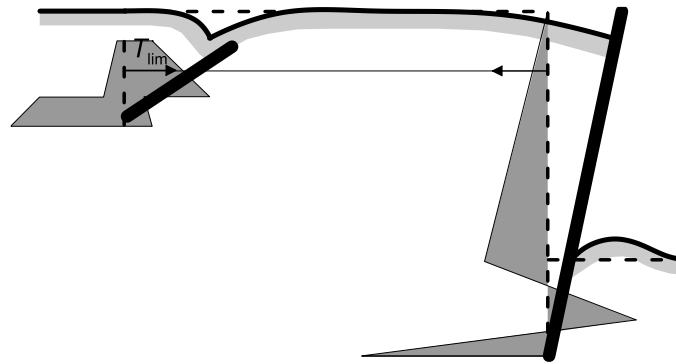
critical acceleration

internal forces



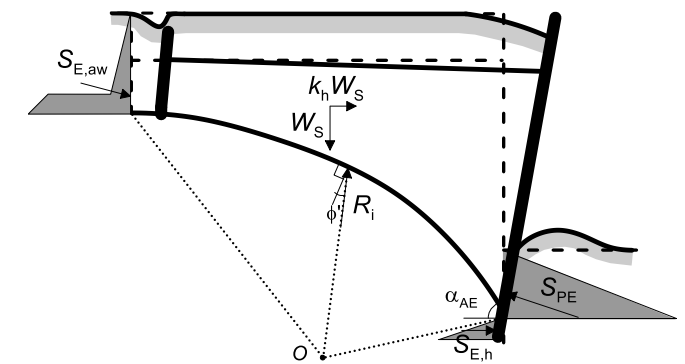
toe failure

$(k_{y,TF})$



anchor failure

$(k_{y,AF})$



global failure

$(k_{y,GF})$

critical mechanism

$k_c = \min\{k_{y,i}\}$



Caputo G, Conti R, Viggiani GMB, Prüm C. (2021) J Geotech Geoenviron. Eng 147(2): 04020154



NUMERICAL ANALYSES

FLAC V.5.0 (2D)

explicit

soil

elastic-perfectly plastic

($V_s = (G/\rho)^{0.5}$, $\nu = 0.2$)

Mohr-Coulomb yield criterion

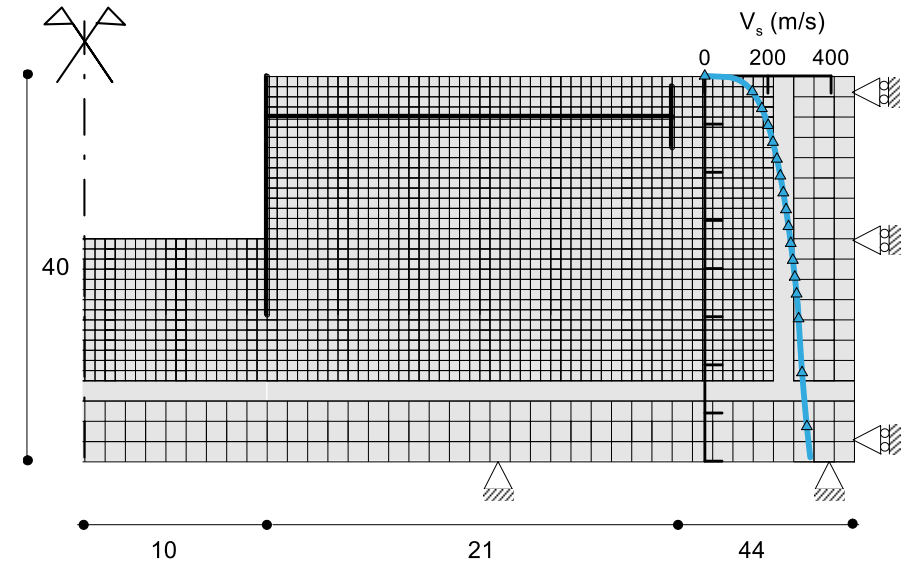
($c' = 0$ kPa, $\phi' = 35^\circ$)

non-linear/hysteretic

(Masing rules)

structural elements (beam/cables)

linear elastic



NUMERICAL ANALYSES

FLAC V.5.0 (2d)

explicit

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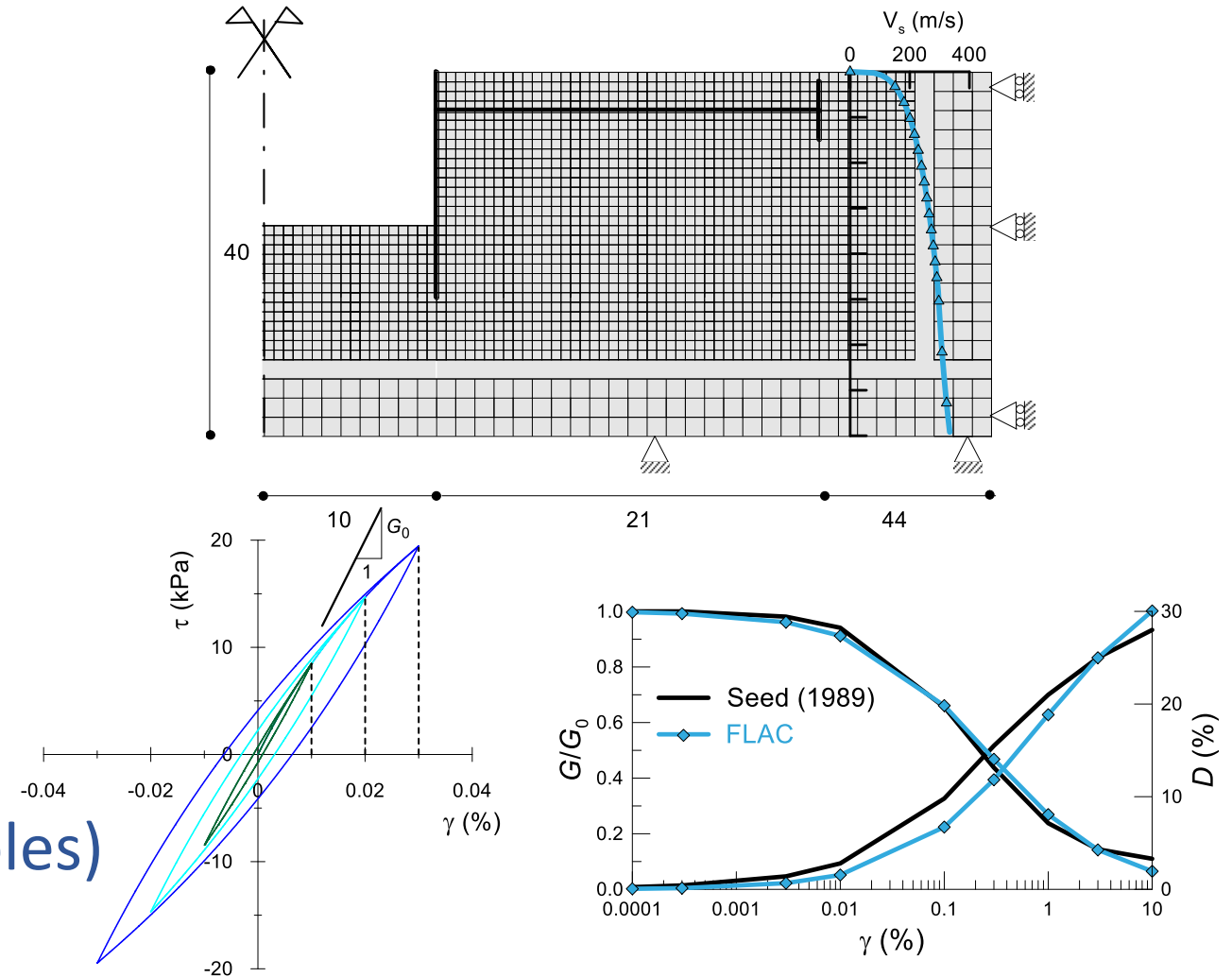
($c' = 0$ kPa, $\phi' = 35^\circ$, $\psi = 0$)

non-linear/hysteretic

(Masing rules)

structural elements (beam/cables)

linear elastic



NUMERICAL ANALYSES

pseudo-static (pushover) analyses

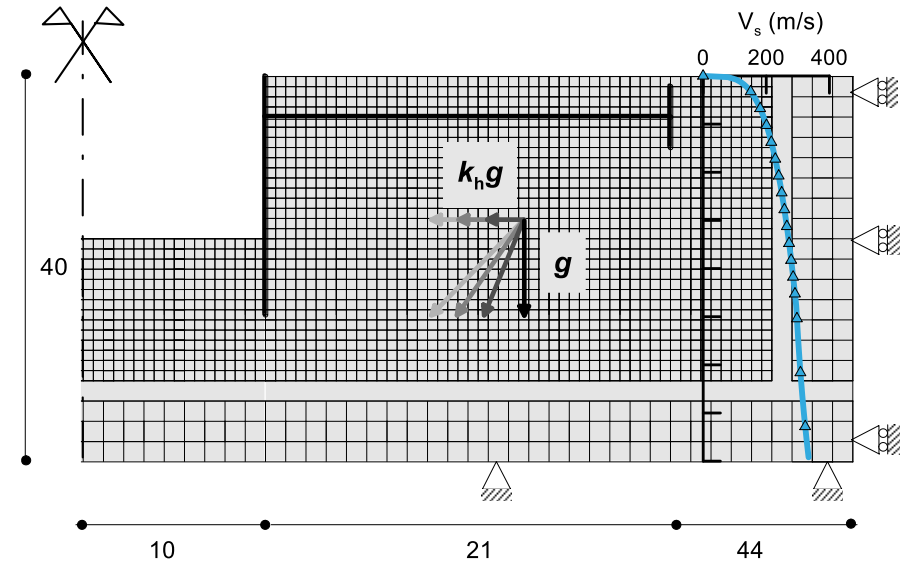
dynamic analyses

periodic boundaries

real acceleration time histories

different layouts

parametric study



NUMERICAL ANALYSES

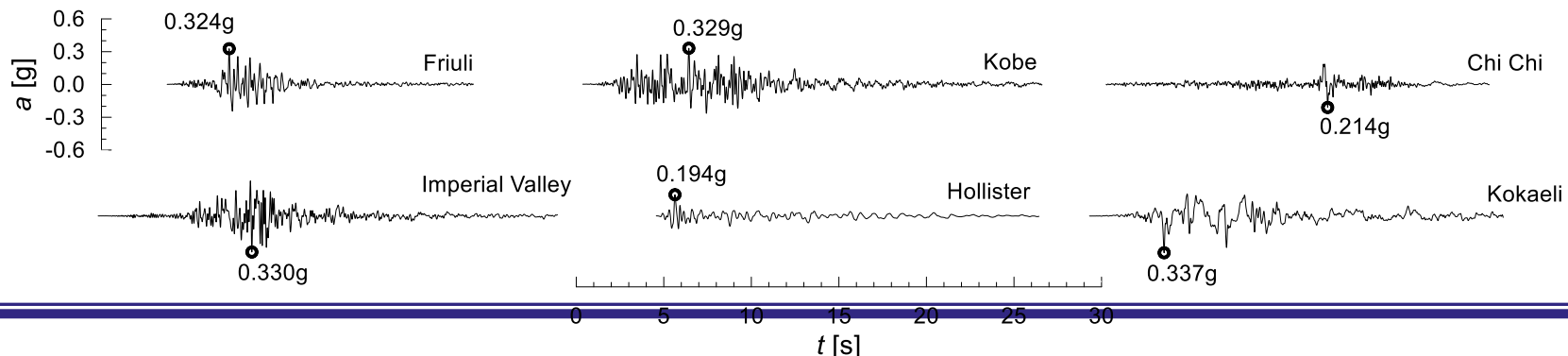
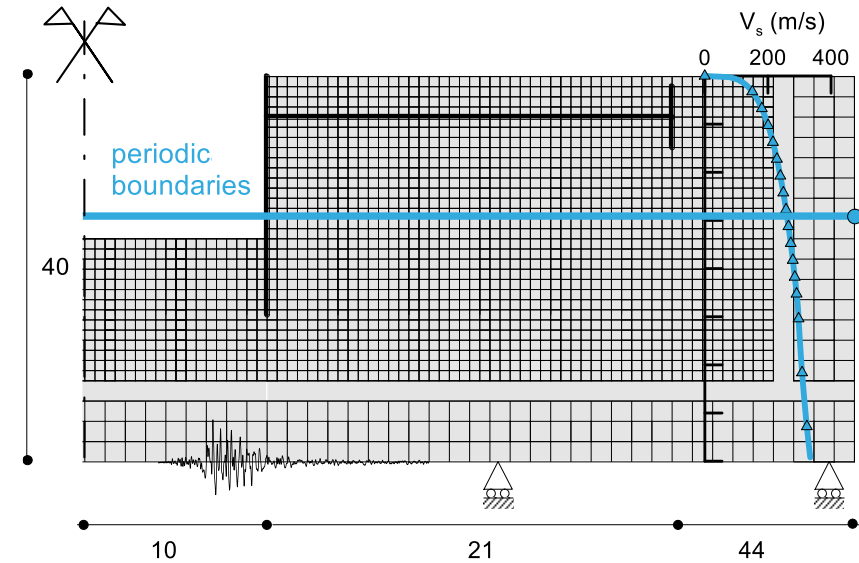
pseudo-static (pushover) analyses

dynamic analyses

periodic boundaries

real acceleration time histories

different layouts



NUMERICAL ANALYSES

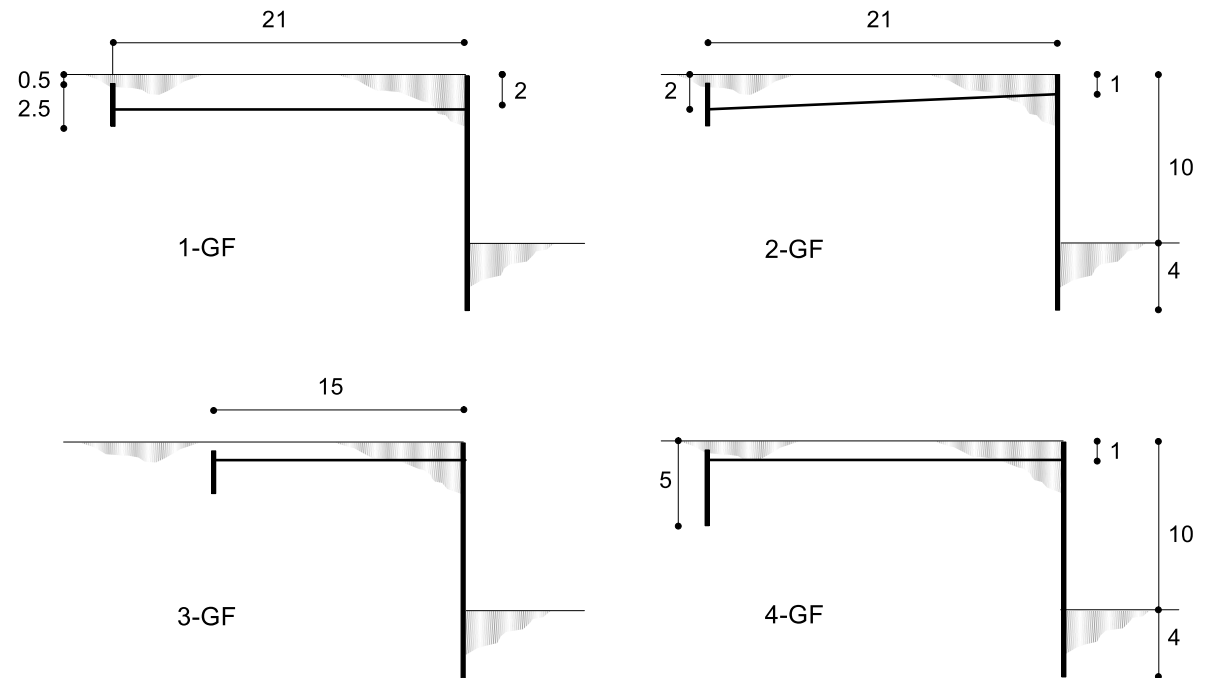
pseudo-static (pushover) analyses

dynamic analyses

periodic boundaries

real acceleration time histories

different layouts



PARAMETRIC STUDY

soil

$$\gamma = 20 \text{ kN/m}^3$$

$$c' = 0 \text{ kPa}, \phi' = 35^\circ$$

$$\Delta = \phi'/3$$

walls

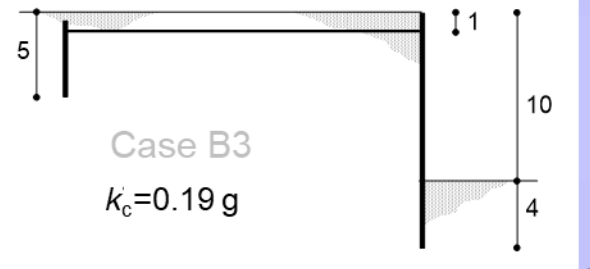
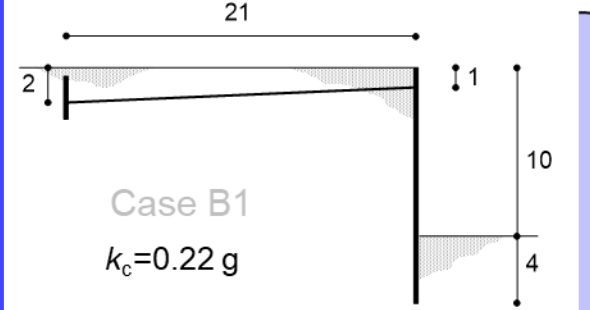
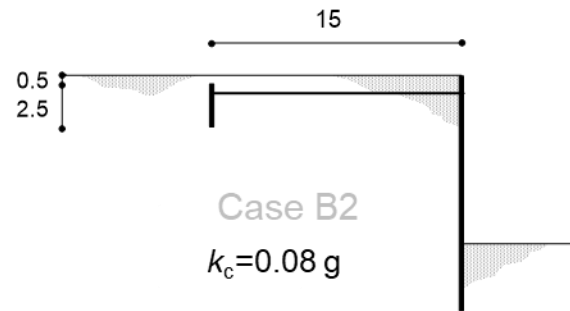
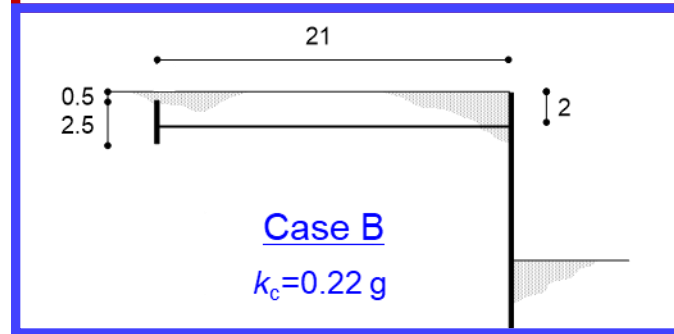
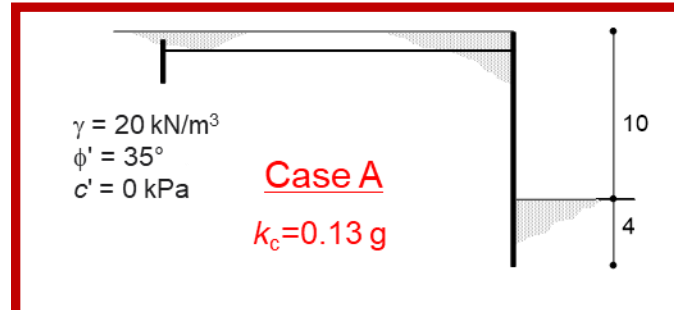
AZ 24-700 ($E=210 \text{ GPa}$, $I=55820 \text{ cm}^4/\text{m}$)

S355 GP ($M_y=1017 \text{ kNm/m}$)

tie rods

$$D = 45 \text{ mm}$$

S460 ($T_y=730 \text{ kN/mm}$)



Anchor failure
(group A)

Global failure
(group B)

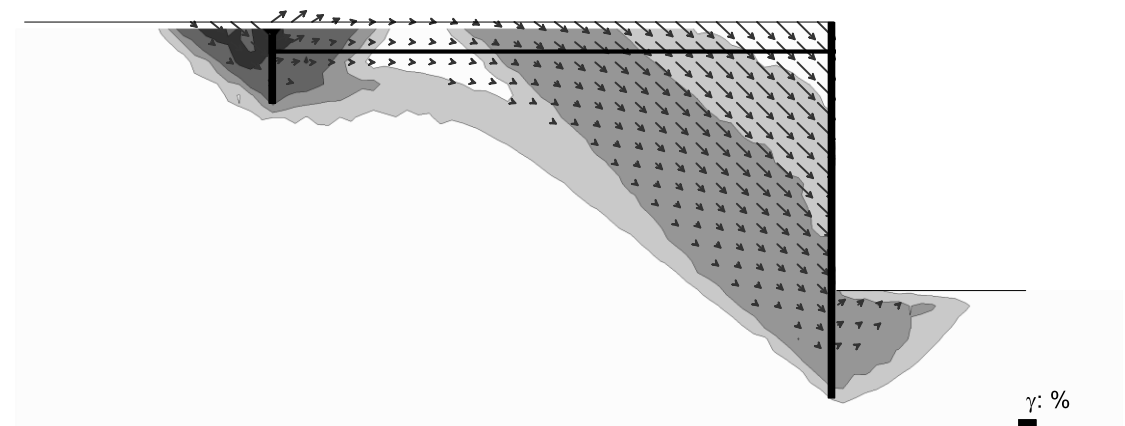
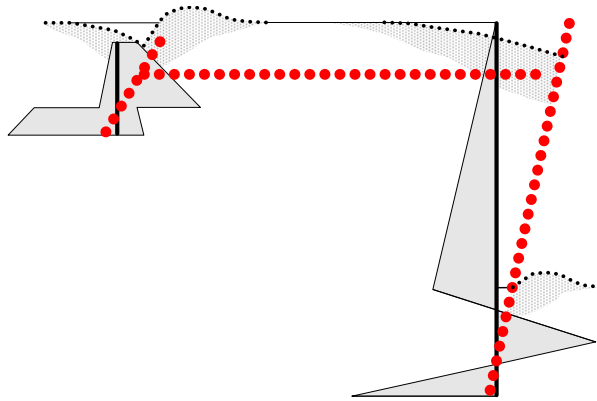


RESULTS

case A

$$k_{c,NUM} = 0.12$$

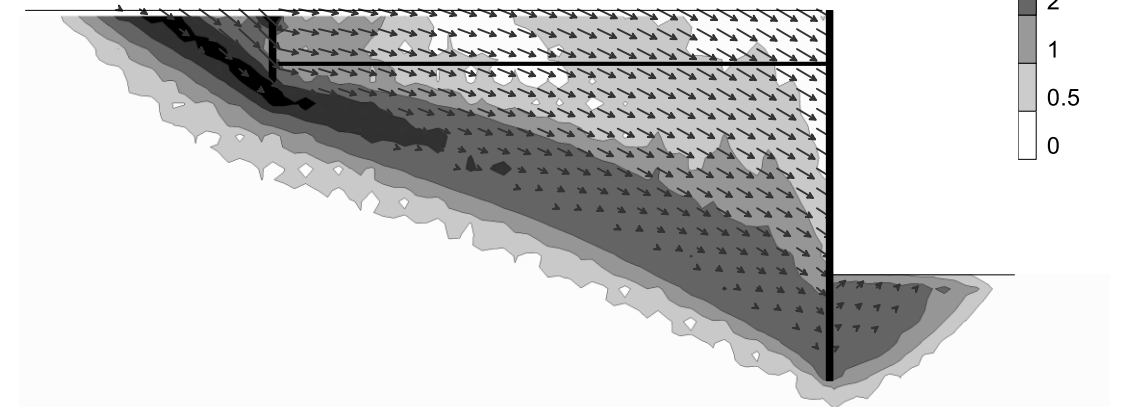
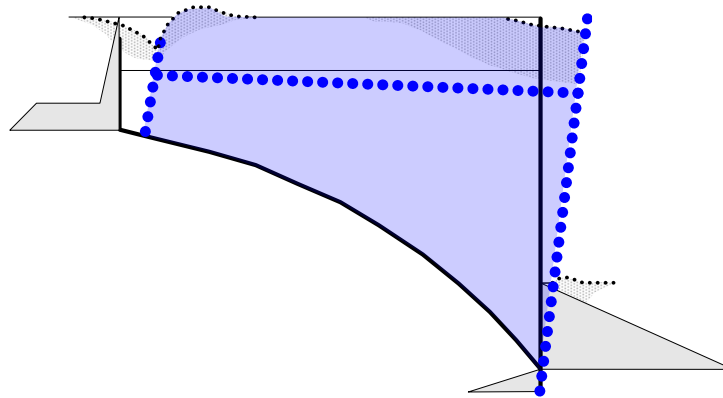
$$k_{c,LE} = 0.13$$



case B

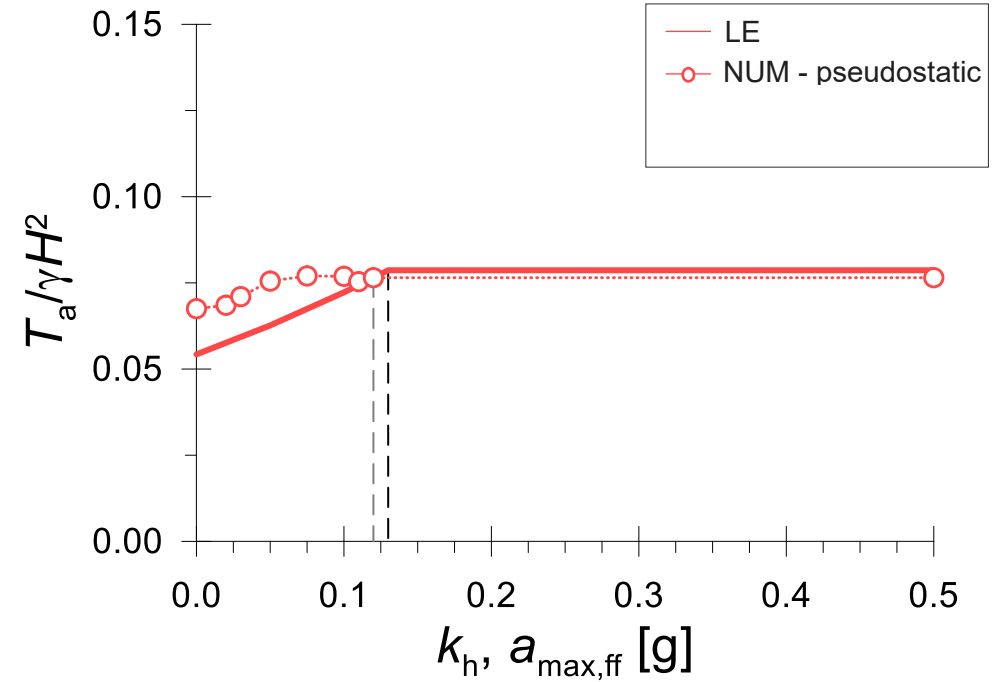
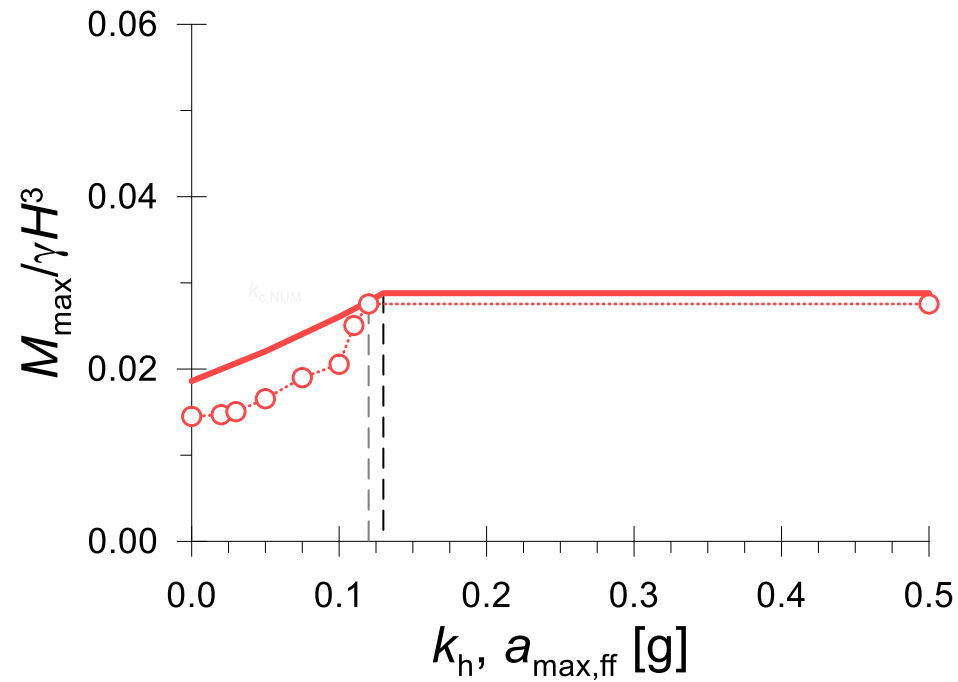
$$k_{c,NUM} = 0.21$$

$$k_{c,LE} = 0.22$$



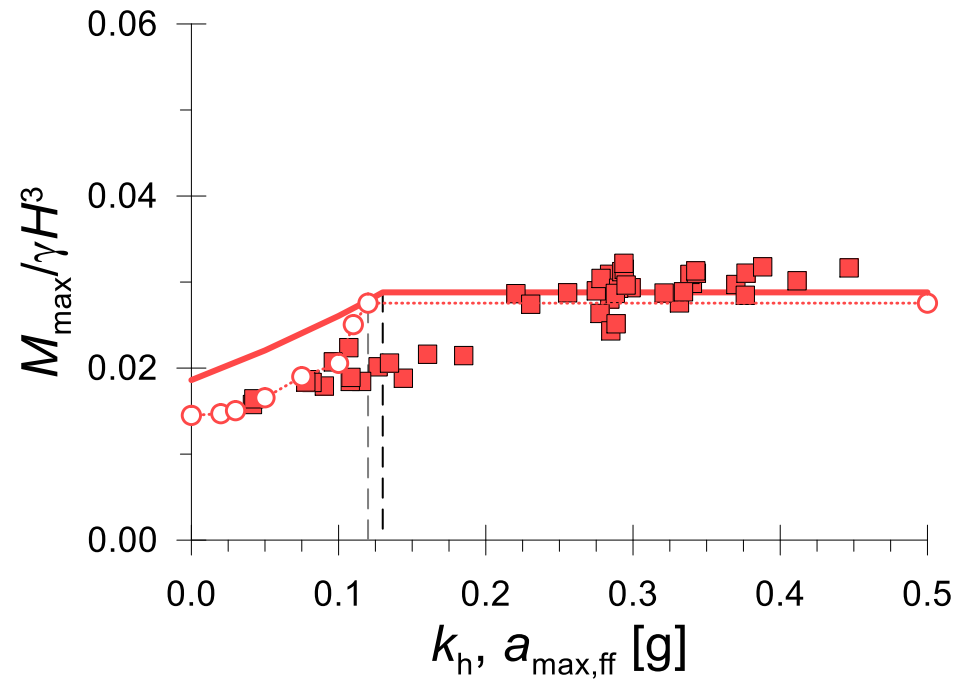
RESULTS

case A

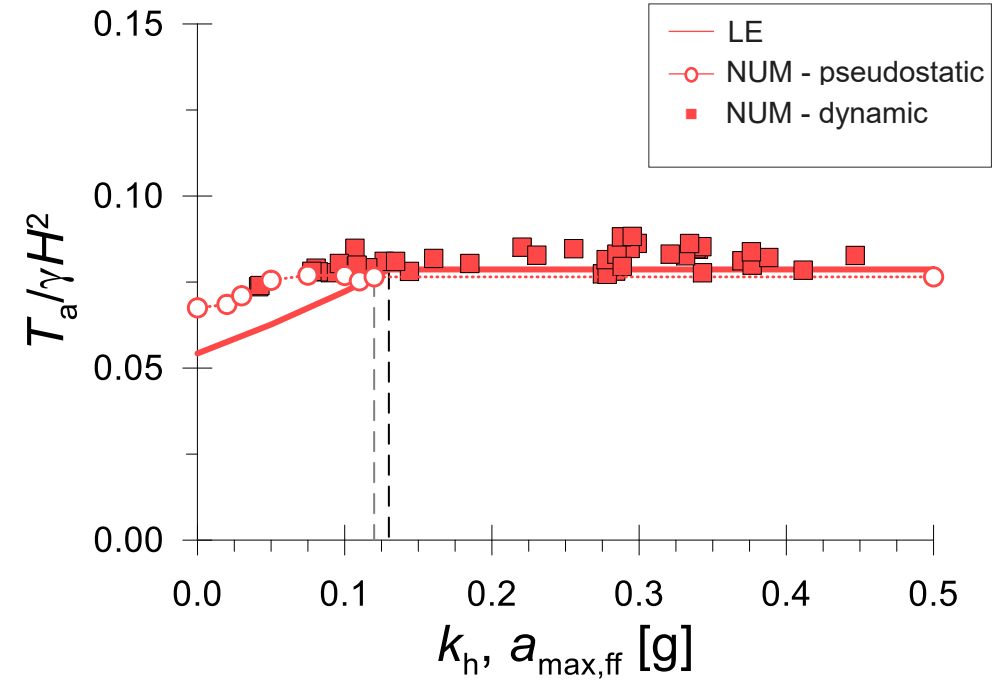


RESULTS

case A



$M_{\max}, T_a$ bounded

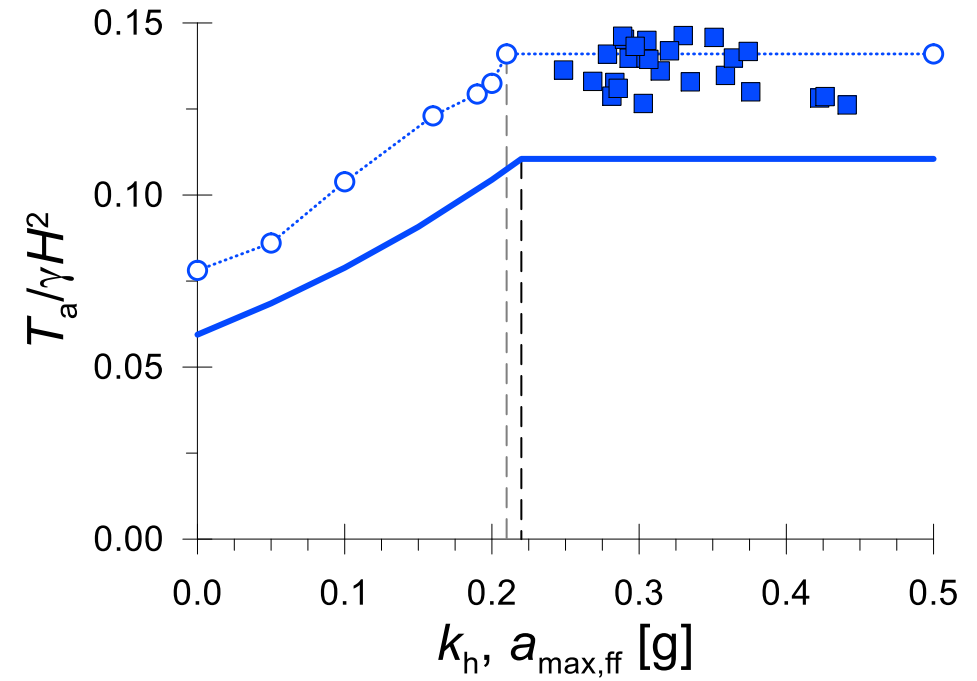
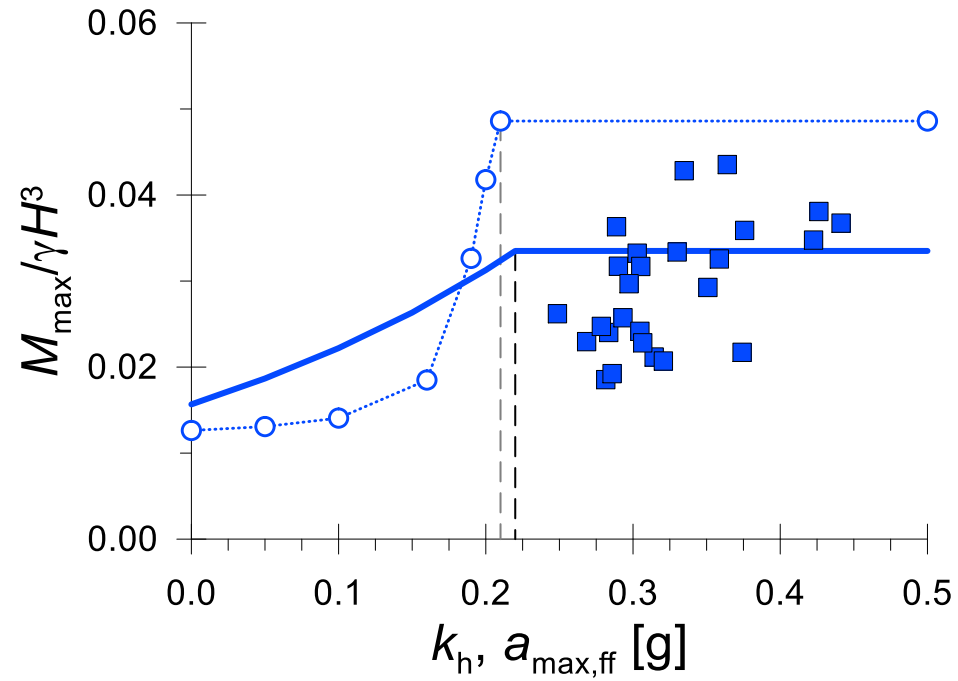


use a_c for structural design



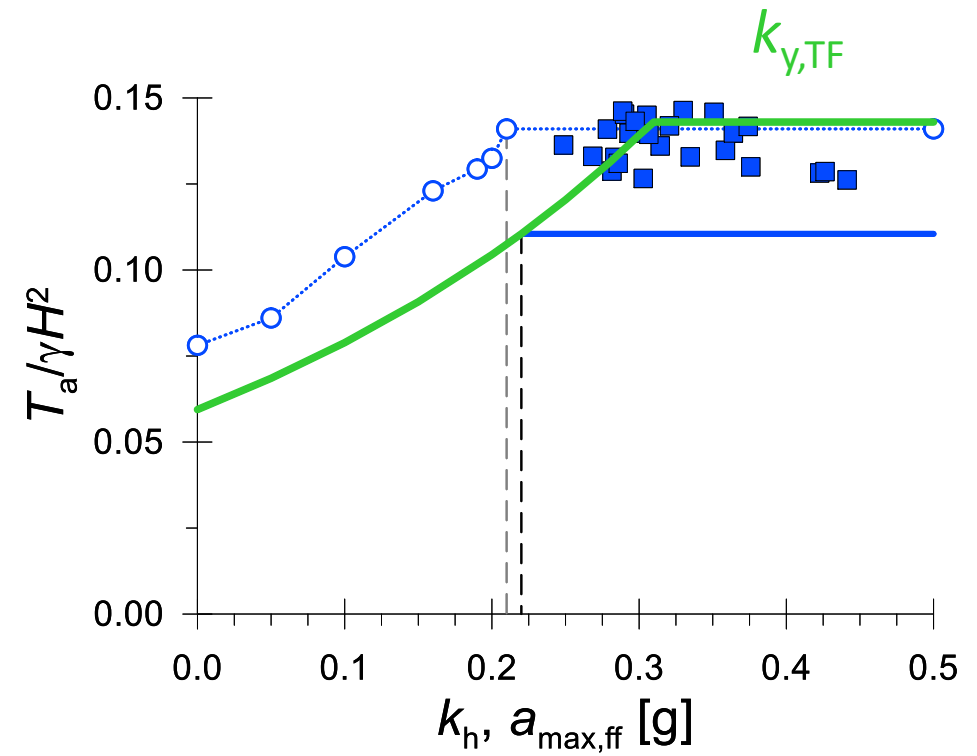
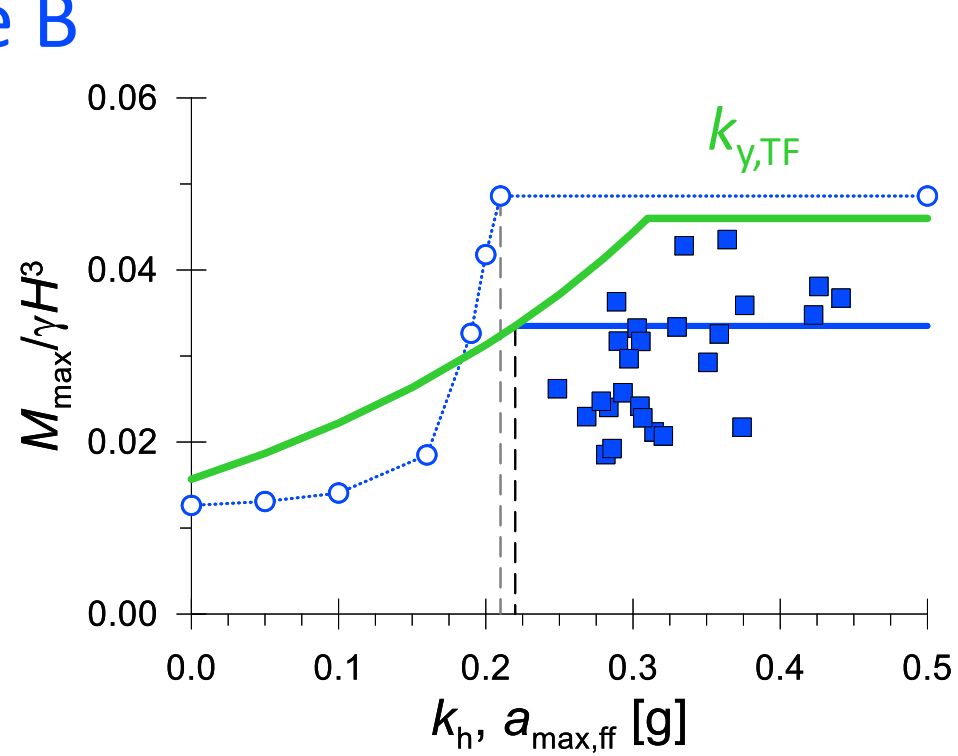
RESULTS

case B

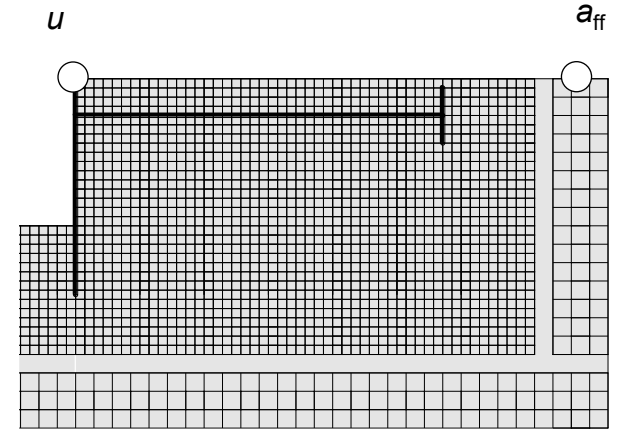
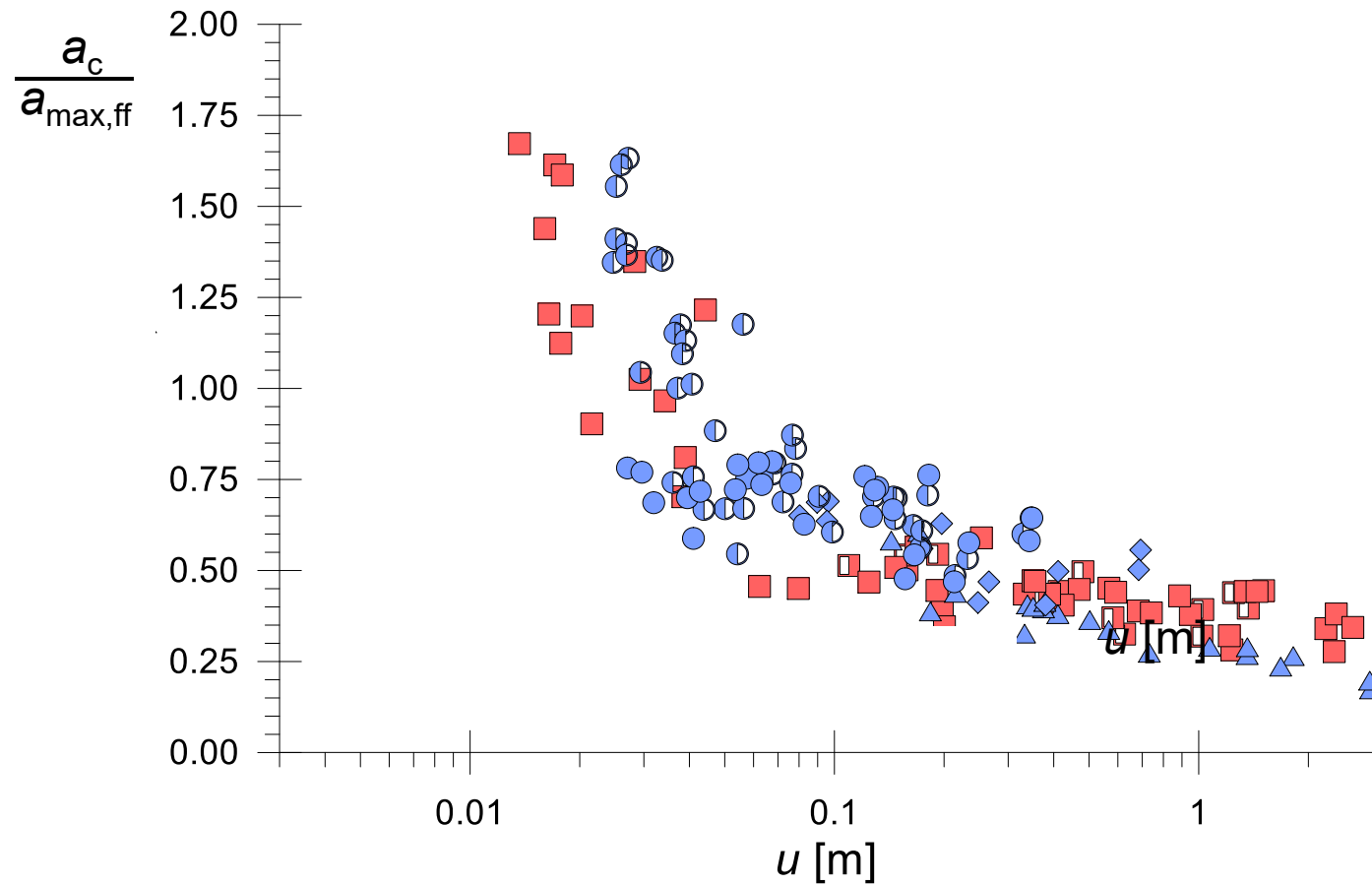


RESULTS

case B



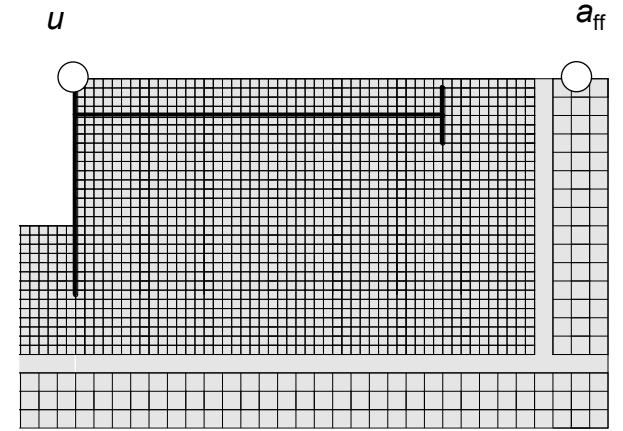
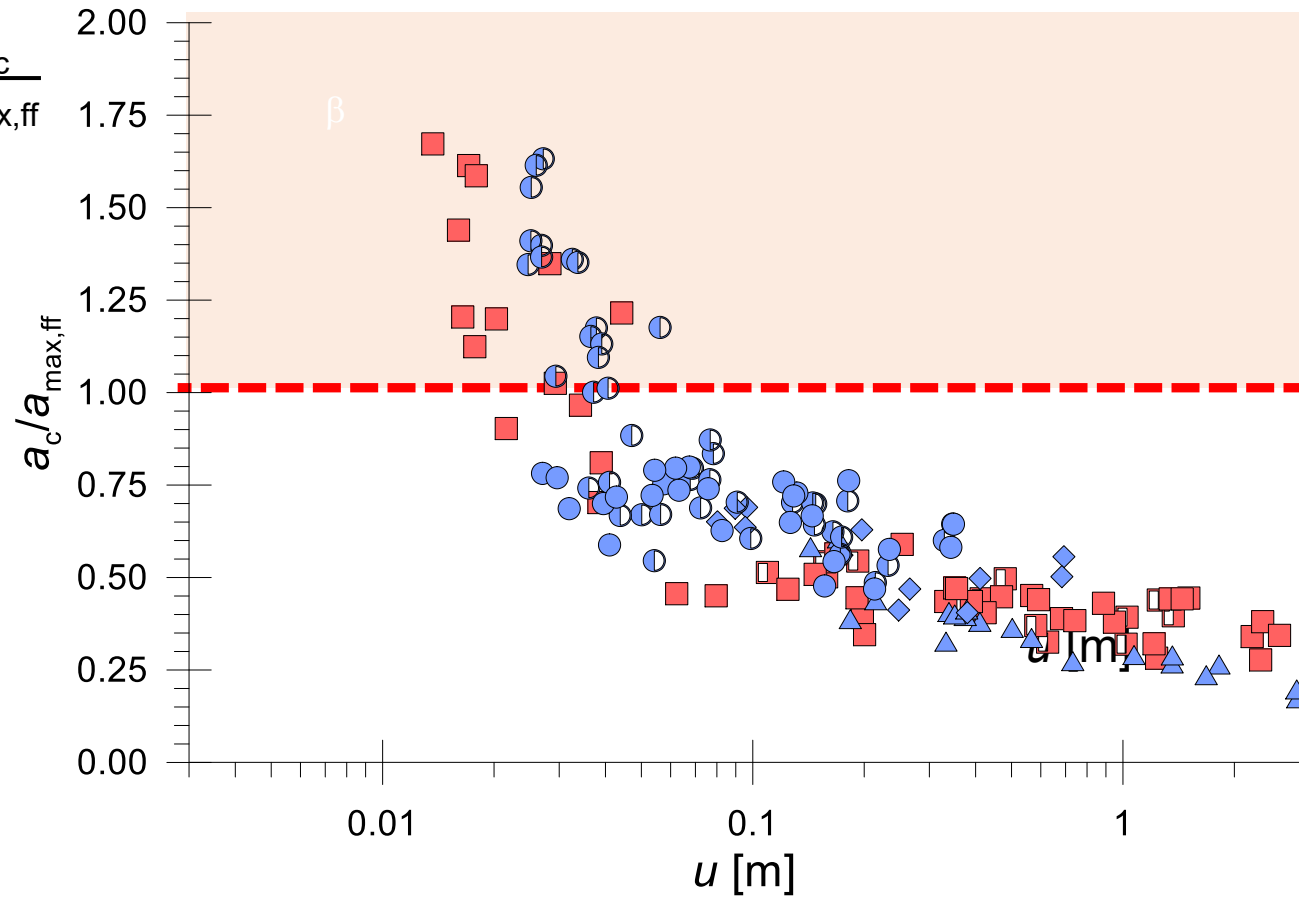
DISPLACEMENTS



- local mechanisms
- global mechanisms

DISPLACEMENTS

$$\beta = \frac{a_c}{a_{\max,ff}}$$

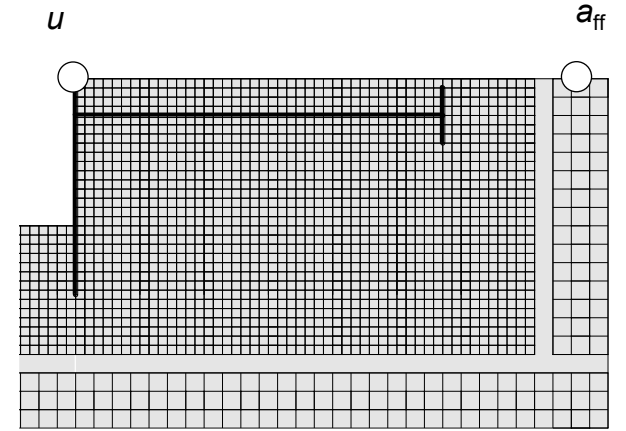
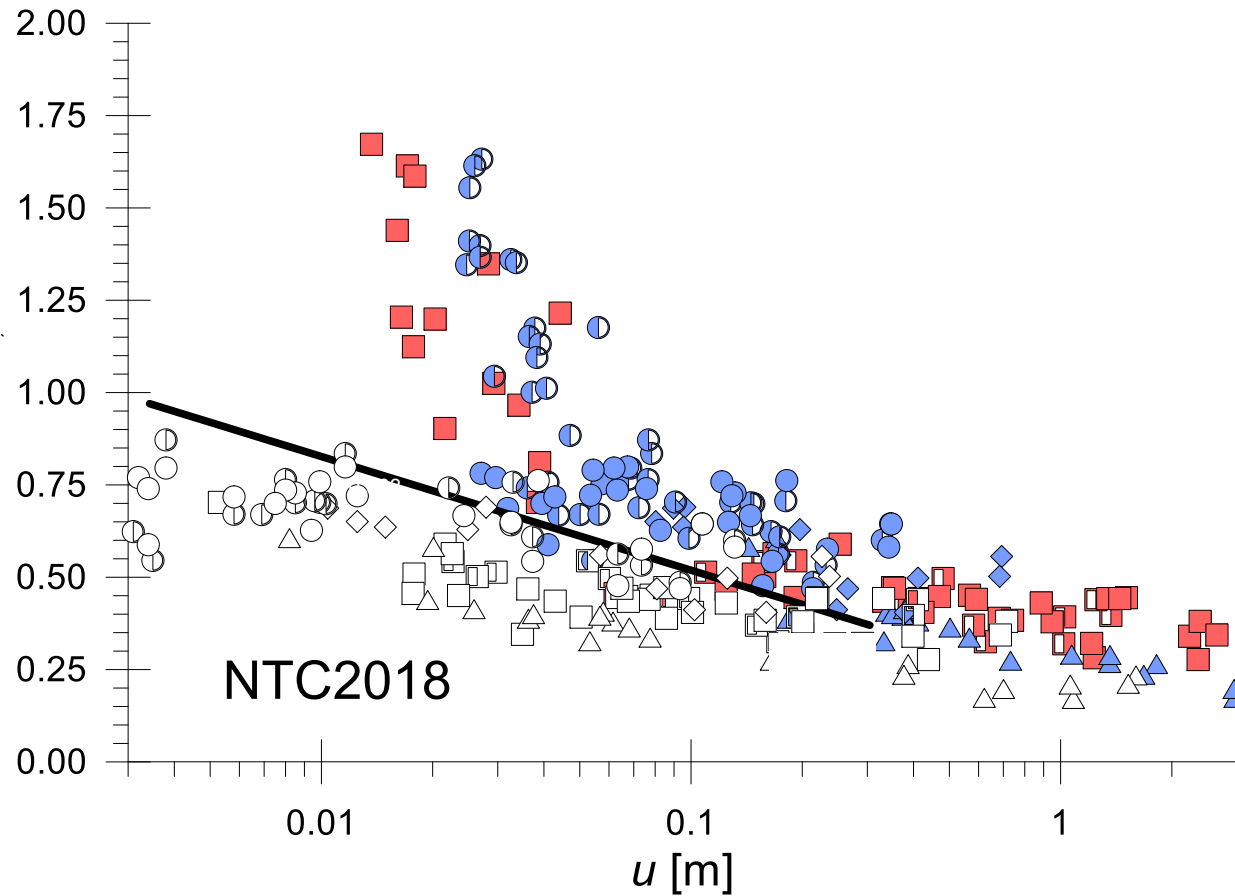


- local mechanisms
- global mechanisms



DISPLACEMENTS

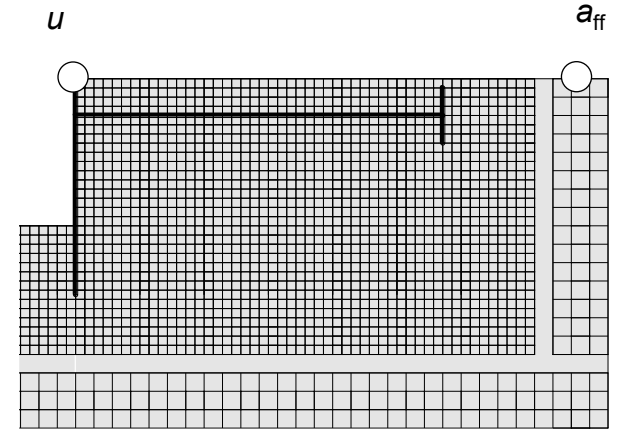
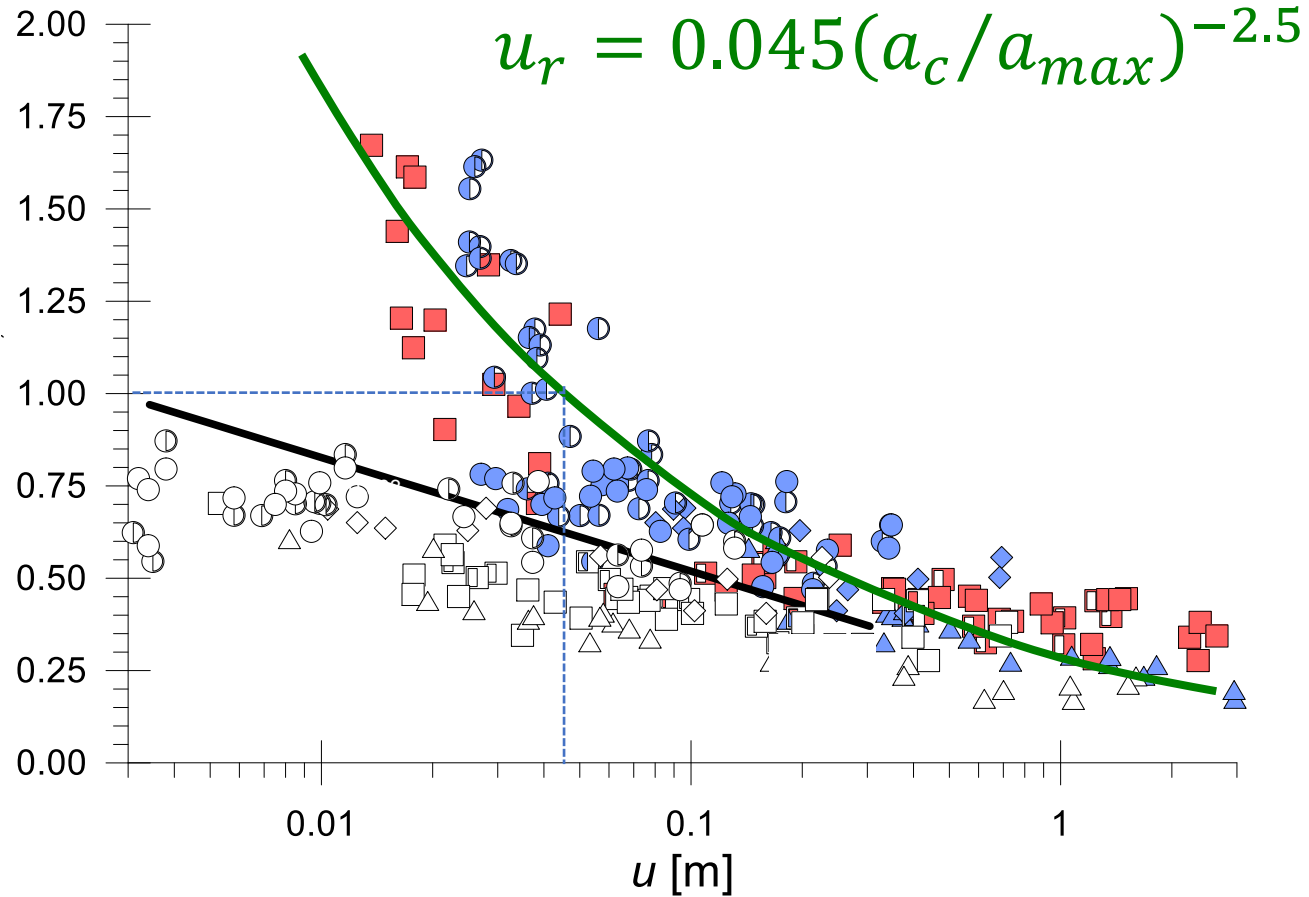
$$\beta = \frac{a_c}{a_{\max,ff}}$$



- local mechanisms
- global mechanisms

DISPLACEMENTS

$$\beta = \frac{a_c}{a_{\max,ff}}$$

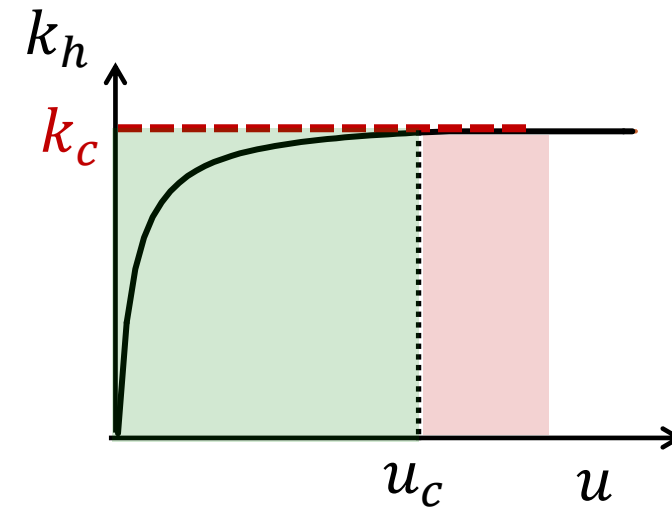
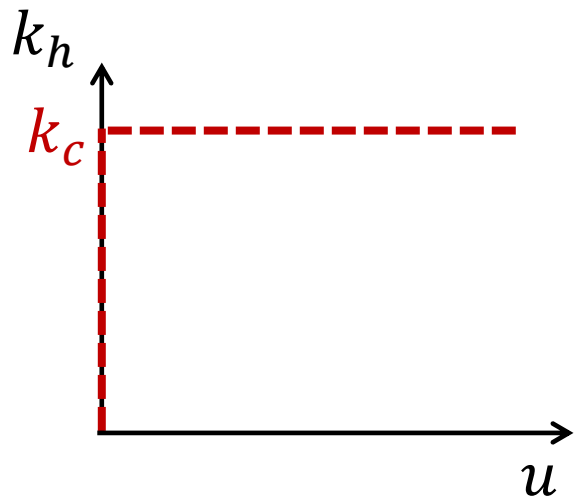
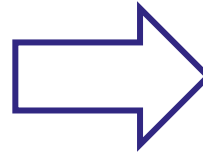
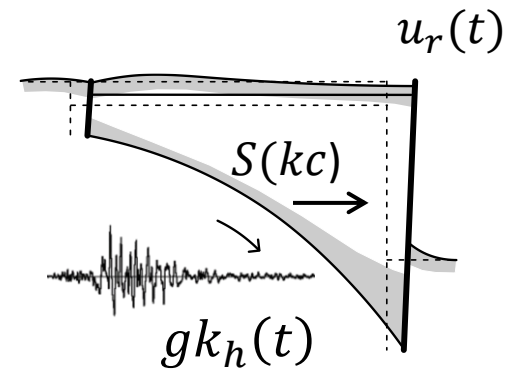
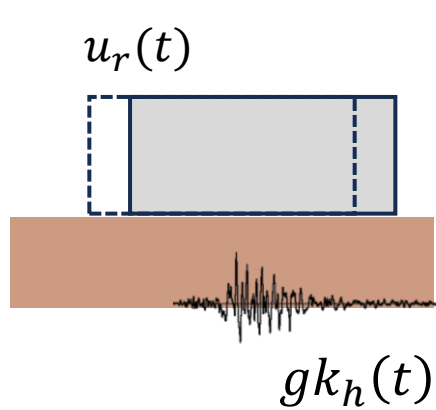


- local mechanisms
- global mechanisms

Conti, R, Viggiani G.M.B (2023). *On the performance-based seismic design of yielding retaining structures*. Soil Dynamics and Earthquake Engineering 174 (2023) 108172



DISPLACEMENTS



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simplified dynamic methods

Non linear SDOF

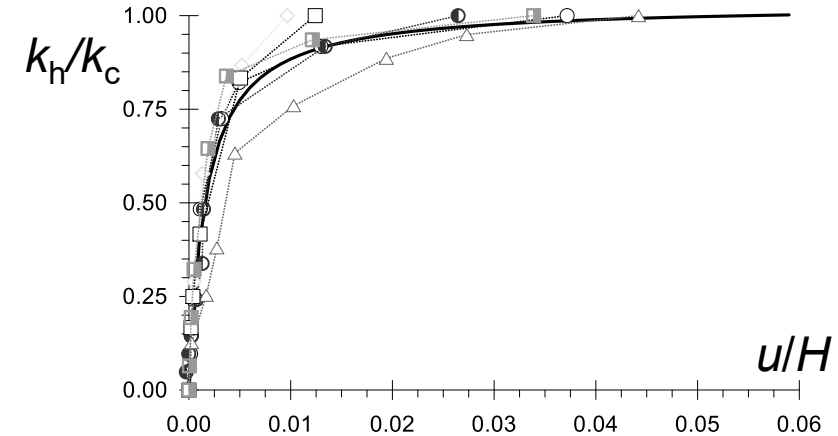
(Callisto, 2019)

Generalised Newmark method

(Cattoni *et al.*, 2019; Oliynyk *et al.*, 2022)

Dynamic Limit Equilibrium

(Caputo, 2021)



non-linearity accounted
for by pushover curve

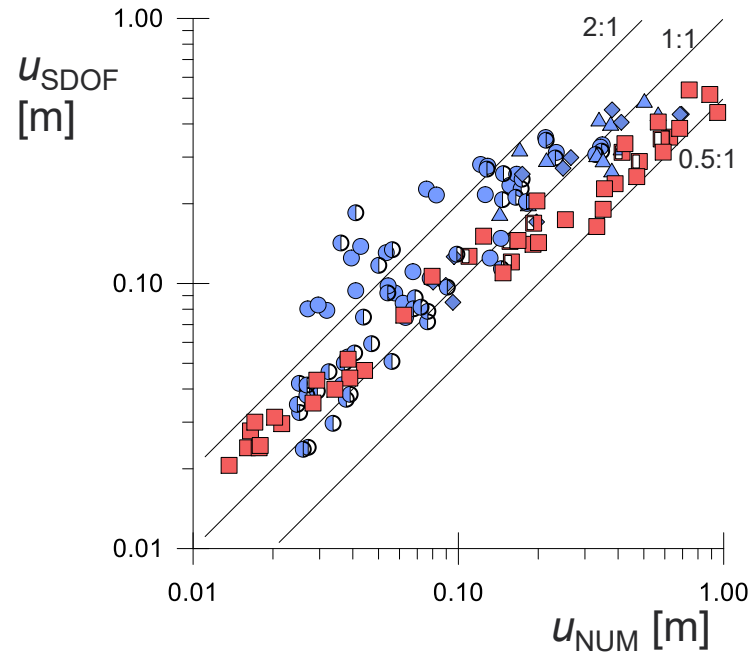
Caputo G, Conti R, Viggiani GMB (2023). *Effects of Displacement Hardening on the Seismic Design of Anchored Walls*. J Geotech Geoenviron Eng ASCE. 04023112-1



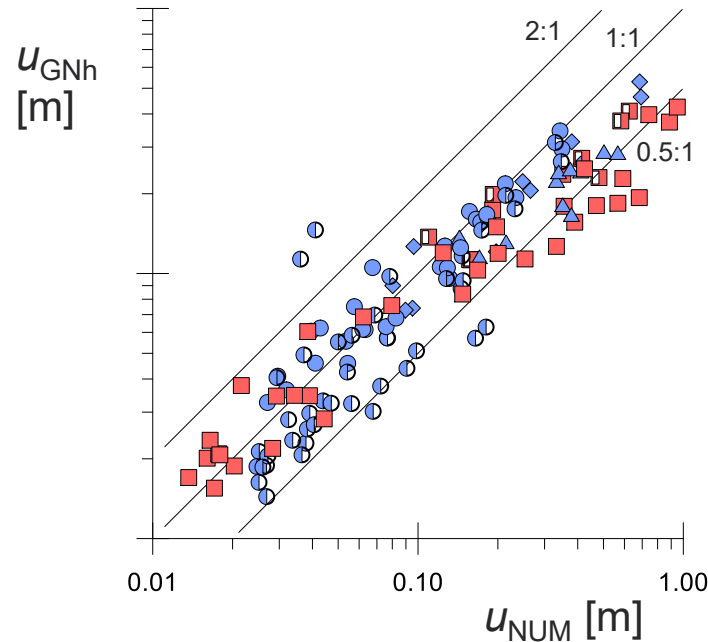
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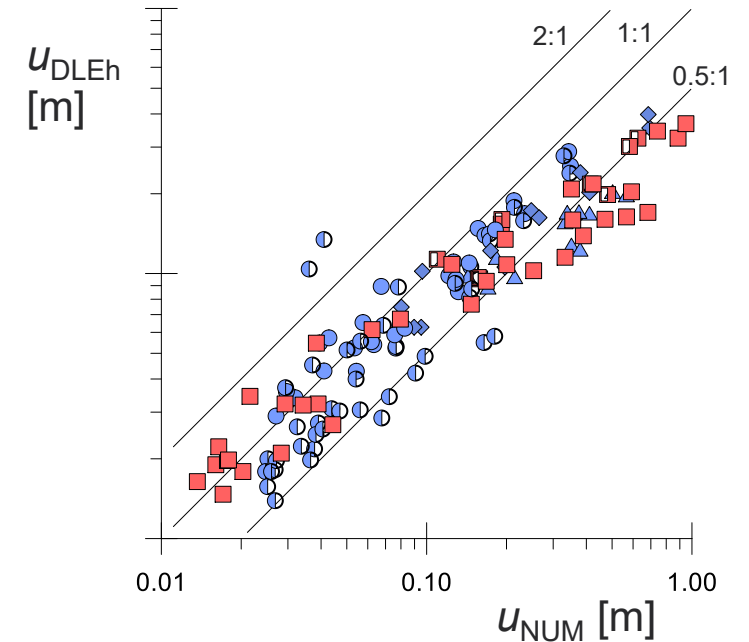
SDOF



GNh



DLEh



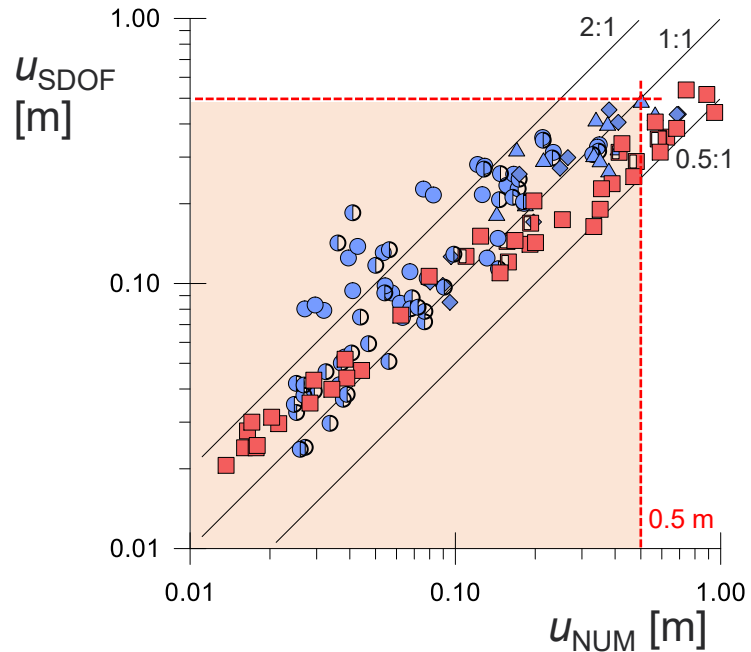
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- global mechanisms



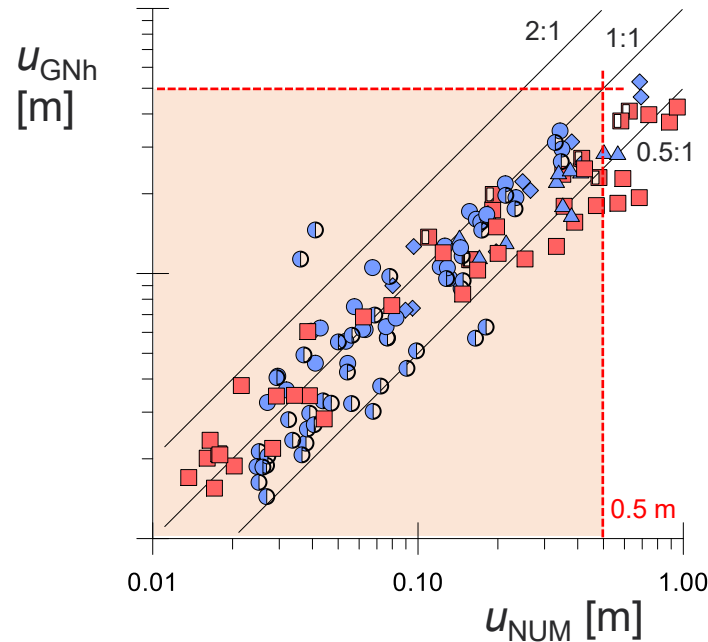
DISPLACEMENTS

simplified dynamic methods

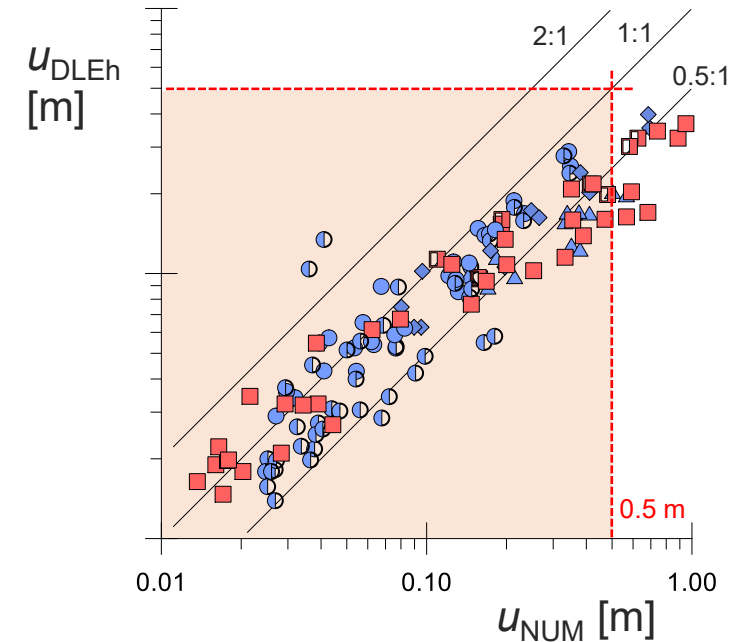
SDOF



GNh



DLEh



- local mechanisms
- global mechanisms



CONCLUSIONS

a_c crucial ingredient

structural design (maximum internal forces)

geotechnical design (displacements)

pseudo-static LE methods

failure mechanism and critical acceleration

maximum internal forces

maximum internal forces bound by critical values

activation of ductile plastic mechanism → structural elements inherently safe

permanent displacements

rigid block assumption NOT applicable

other simple methods (SDOF, GN, DLE) exist

non linear behaviour ($a(t) < a_c$)

complex kinematics

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